

# BORCHERDS FORMS AND GENERALIZATIONS OF SINGULAR MODULI

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## 1. INTRODUCTION

Borcherds forms are meromorphic modular forms for arithmetic subgroups  $\Gamma$  of the orthogonal group  $O(n, 2)$  which arise from a regularized theta lift of (vector valued) modular forms of weight  $1 - \frac{n}{2}$  for  $SL_2(\mathbb{Z})$  with poles at the cusp. They have interesting product expansions and explicitly known divisors (cf. [2]). In some cases they can be realized as classical modular forms, such as the difference of two modular  $j$ -functions or as the discriminant function  $\Delta$  (see [3]). In this paper, we give a factorization of values of Borcherds forms at CM points. The main result can be viewed as a generalization of the singular moduli result (Theorem 1.3 of [9]) of Gross and Zagier. In fact, our method gives a new proof of their result, which will be discussed in a sequel to this paper.

Let  $V$  be a vector space with quadratic form  $Q$  of signature  $(n, 2)$  and let  $D$  be the space of oriented negative-definite two-planes in  $V(\mathbb{R})$ .  $D$  is the symmetric space for  $O(n, 2)$  and has a Hermitian structure. For example, when  $n = 1$ ,  $D \simeq \mathfrak{H}^+ \sqcup \mathfrak{H}^-$  is the union of the upper and lower half-planes  $\mathfrak{H} = \mathfrak{H}^+$  and  $\mathfrak{H}^-$ , respectively. Let  $H = \mathrm{GSpin}(V)$  and let  $K \subset H(\mathbb{A}_f)$  be a compact open subgroup, where  $\mathbb{A}_f$  is the finite adeles. We consider the quasi-projective variety

$$X_K = H(\mathbb{Q}) \backslash \left( D \times H(\mathbb{A}_f) / K \right) \simeq \coprod_j \Gamma_j \backslash D^+,$$

for a finite number of arithmetic subgroups  $\Gamma_j \subset H(\mathbb{Q})$ , and where  $D^+ \subset D$  is the subset of positively oriented two-planes.

Recall the theory of Borcherds forms on  $X_K$ . For a lattice  $L \subset V$  with dual

$$L^\vee = \{x \in V \mid (x, L) \subseteq \mathbb{Z}\}$$

such that  $L^\vee \supset L$ , there exists a finite dimensional subspace  $S_L \subset S(V(\mathbb{A}_f))$  of the Schwartz space of  $V(\mathbb{A}_f)$  defined as follows. Let  $\hat{L} = L \otimes_{\mathbb{Z}} \hat{\mathbb{Z}}$ . Then  $S_L$  is the space of functions with support in  $\hat{L}^\vee$  which are constant on cosets of  $\hat{L}$ . A natural basis of  $S_L$  is

$$\{\varphi_\eta = \mathrm{char}(\eta + L) \mid \eta \in L^\vee / L\}$$

and  $\dim S_L = |L^\vee / L|$ . There exists a representation  $\omega$  of (the metaplectic extension  $\Gamma'$  of)  $\Gamma = SL_2(\mathbb{Z})$  on  $S(V(\mathbb{A}_f))$  preserving  $S_L$ ; see section 4 of [2] for details.

A modular form  $F : \mathfrak{H} \rightarrow S_L$  of weight  $1 - \frac{n}{2}$  and type  $\omega$  for  $\Gamma$  satisfies

$$F(\gamma\tau) = (c\tau + d)^{1 - \frac{n}{2}} \omega(\gamma)(F(\tau))$$

for all  $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma$ . The function  $F$  has Fourier expansion

$$(1) \quad F(\tau) = \sum_{\eta} F_{\eta}(\tau) \varphi_{\eta} = \sum_{\eta} \sum_m c_{\eta}(m) \mathbf{q}^m \varphi_{\eta},$$

where  $\mathbf{q} = e^{2\pi i\tau}$ . We say that  $F$  is *weakly holomorphic* if only a finite number of the  $c_\eta(m)$ 's with  $m < 0$  are non-zero. Furthermore, we call such a modular form *integral* if the non-positive Fourier coefficients lie in  $\mathbb{Z}$ .

To a weakly holomorphic integral modular form  $F$  of weight  $1 - \frac{n}{2}$ , Borchers attaches a function  $\Psi(F)$  (called a Borchers form), which is a meromorphic modular form on the space  $D \times H(\mathbb{A}_f)$  with respect to  $H(\mathbb{Q})$ . The weight of  $\Psi(F)$  is  $\frac{1}{2}c_0(0)$  and the divisor of  $\Psi(F)^2$  is given explicitly in terms of the negative Fourier coefficients of  $F$ ,

$$\operatorname{div}(\Psi(F)^2) = \sum_{\eta} \sum_{m>0} c_\eta(-m) Z(m, \eta, K),$$

for divisors  $Z(m, \eta, K)$  on  $X_K$ . The concrete connection between  $F$  and  $\Psi(F)$  is given by a regularized theta lift

$$\Phi(z, h; F) := \int_{\Gamma \backslash \mathfrak{H}} ((F(\tau), \theta(\tau, z, h))) v^{-2} du dv,$$

where  $z \in D, h \in H(\mathbb{A}_f)$  and  $\tau = u + iv \in \mathfrak{H}$ , and where  $((F(\tau), \theta(\tau, z, h)))$  is a theta function constructed from the Fourier expansion of  $F$ ; see section 2.1 for details. Since  $F$  has a pole at the cusp, this integral diverges and so it must be regularized. See [2] or section 2.1 for the exact definition of the regularized integral. When  $z$  is not in the divisor of  $\Psi(F)$  we have

$$(2) \quad \Phi(z, h; F) = -2 \log \|\Psi(z, h; F)\|^2,$$

where  $\|\cdot\|$  is the Petersson norm, suitably normalized. Our goal is to evaluate the averages of  $\Phi(F)$  over certain sets of CM points.

To define CM points, we consider a rational splitting

$$V = V_+ \oplus U,$$

where  $V_+$  has signature  $(n, 0)$  and  $U$  has signature  $(0, 2)$ . This determines a two-point subset  $D_0 \subset D$  consisting of  $U(\mathbb{R})$  with its two possible orientations. Let  $T = \operatorname{GSpin}(U)$  and  $K_T = K \cap T(\mathbb{A}_f)$ . We obtain a zero cycle

$$Z(U)_K = T(\mathbb{Q}) \backslash (D_0 \times T(\mathbb{A}_f)/K_T) \hookrightarrow X_K,$$

which we regard as a set of CM points inside of  $X_K$ . The main theorem is

**Theorem 1.1.** (i)  $\Phi(F)$  is finite at all CM points.

(ii) There exist explicit constants  $\kappa_\eta(m)$  such that

$$(3) \quad \sum_{z \in Z(U)_K} \Phi(z; F) = \frac{4}{\operatorname{vol}(K_T)} \sum_{\eta} \sum_{m \geq 0} c_\eta(-m) \kappa_\eta(m),$$

where the  $c_\eta(-m)$ 's are the negative Fourier coefficients of  $F$ .

Now using relation (2) we obtain

**Corollary 1.2.** When  $Z(U)_K$  does not meet the divisor of  $\Psi(F)$ , we have

$$(4) \quad \sum_{z \in Z(U)_K} \log \|\Psi(z; F)\|^2 = \frac{-2}{\operatorname{vol}(K_T)} \sum_{\eta} \sum_{m \geq 0} c_\eta(-m) \kappa_\eta(m).$$

When  $Z(U)_K$  meets the divisor of  $\Psi(F)$ , it remains to give an interpretation of Theorem 1.1 in terms of the function  $\Psi(F)$ .

The constants  $\kappa_\eta(m)$  come from Eisenstein series on  $SL_2$ . The quantity in the left hand side of (3) can be written as an integral

$$\int_{\mathbb{S}(U)} \Phi(z_0, h; F) dh = \int_{\mathbb{S}(U)} \int_{\Gamma \backslash \mathfrak{H}}^\bullet ((F(\tau), \theta(\tau, z_0, h))) v^{-2} du dv dh,$$

where  $\mathbb{S}(U) = SO(U)(\mathbb{Q}) \backslash SO(U)(\mathbb{A}_f)$  and  $z_0 \in D_0$ . Here we write the theta function as a tensor product

$$\theta(\tau, z_0, h) = \theta_+(\tau, z_0) \otimes \theta_-(\tau, z_0, h)$$

of the theta functions for  $V_+$  and  $U$ , respectively. Then we use the contraction map  $\langle \cdot, \theta_+ \rangle$  (see section 3.2 for details) and write

$$((F(\tau), \theta(\tau, z_0, h))) = ((\langle F, \theta_+ \rangle(\tau), \theta_-(\tau, z_0, h))),$$

where  $\langle F, \theta_+ \rangle \in S(U(\mathbb{A}_f))$ . After some justification, the order of integration (where the inside integral is regularized) can be switched giving

$$(5) \quad \int_{\Gamma \backslash \mathfrak{H}}^\bullet ((\langle F, \theta_+ \rangle(\tau), \int_{\mathbb{S}(U)} \theta_-(\tau, z_0, h) dh)) v^{-2} du dv.$$

Then by the Siegel-Weil formula, the integral of  $\theta_-(\tau, z_0, h)$  on  $\mathbb{S}(U)$  gives rise to a *coherent* Eisenstein series,  $E(\tau, s; -1)$ , of weight  $-1$ . For the definition of the term coherent, see [8]. With this Eisenstein series, we can write (5) as

$$(6) \quad \int_{\Gamma \backslash \mathfrak{H}}^\bullet ((\langle F, \theta_+ \rangle(\tau), E(\tau, 0; -1))) v^{-2} du dv.$$

Using Maass operators we relate  $E(\tau, s; -1)$  to another Eisenstein series,  $E(\tau, s; +1)$ , of weight  $+1$  via

$$E(\tau, s; -1) v^{-2} = \frac{-4i}{s} \frac{\partial}{\partial \bar{\tau}} \{E(\tau, s; +1)\}.$$

One phenomenon that is very specific to the case of signature  $(0, 2)$  is that the resulting Eisenstein series  $E(\tau, s; +1)$  is incoherent. Hence,  $E(\tau, s; +1)$  satisfies an odd functional equation with respect to  $s \mapsto -s$ , and, therefore, vanishes at  $s = 0$ . The integral (6) can be evaluated using a Stokes' Theorem argument and some convergence estimates about the Fourier coefficients of  $E(\tau, s; +1)$ . This leads to the constants  $\kappa_\eta(m)$  as follows.

For  $V = V_+ \oplus U$  and  $L \subset V$ , let  $L_+ = V_+ \cap L$  and  $L_- = U \cap L$ . If  $\mu \in L_-^\vee / L_-$  and  $\varphi_\mu = \text{char}(\mu + L_-)$  we write

$$E(\tau, s; \varphi_\mu, +1) = \sum_m A_\mu(s, m, v) \mathbf{q}^m,$$

where the Fourier coefficients have Laurent expansions

$$A_\mu(s, m, v) = b_\mu(m, v) s + O(s^2).$$

In order to define  $\kappa_\eta(m)$ , we first define

$$\kappa_\mu^U(m) = \begin{cases} \lim_{v \rightarrow \infty} b_\mu(m, v) & \text{if } m > 0, \\ k_0(0) \varphi_\mu(0) & \text{if } m = 0, \\ 0 & \text{if } m < 0, \end{cases}$$

where  $k_0(0)$  is a constant which depends on the space  $U$  (see Definition 2.17). Let

$$L^\vee = \bigcup_{\eta} (\eta + L), \quad L = \bigcup_{\lambda} (\lambda + L_+ + L_-)$$

and write  $\eta = \eta_+ + \eta_-$ ,  $\lambda = \lambda_+ + \lambda_-$ . Then we define

$$\kappa_\eta(m) = \sum_{\lambda} \sum_{x \in \eta_+ + \lambda_+ + L_+} \kappa_{\eta_- + \lambda_-}^U(m - Q(x)).$$

The space  $U$  is a rational quadratic space of signature  $(0, 2)$ , so  $U \simeq k$  for an imaginary quadratic field  $k$  and the quadratic form is just a negative multiple of the norm-form. When  $k$  has odd discriminant and  $m \neq 0$ , then

$$\frac{-2}{\text{vol}(K_T)} \kappa_\eta(m)$$

is the logarithm of an integer. Thus, if  $F$  has  $c_0(0) = 0$ , so that  $\Psi(F)$  is a meromorphic function, then Corollary 1.2 shows that

$$(7) \quad \prod_{z \in Z(U)_K} \|\Psi(z; F)\|^2$$

is a rational number. Moreover, if all of the negative Fourier coefficients of  $F$  are non-negative, then (7) is actually an integer. In the case of signature  $(2, 2)$ , similar results were obtained in [5] for certain rational functions and CM points on a Hilbert modular surface. If  $c_0(0) \neq 0$ , then there is a transcendental factor

$$(4\pi d)^{-1} e^{2 \frac{L'(0, x)}{L(0, x)}}$$

appearing in (7), which is related to Shimura's period invariant, [15], [8], [18], for the CM points in the 0-cycle  $Z(U)_K$ . This factor arises from the trivialization over the CM cycle of the line bundle of which  $\Psi(F)$  defines a section.

We can say a little bit more about the rational number appearing in (7). The formulas we obtain for  $\kappa_\eta(m)$  tell us the explicit factorization of the rational part of (7). Then, as a consequence of Corollary 1.2, we are able to state a Gross-Zagier type of theorem about which primes can occur in the factorization. For  $F$  as in (1), define

$$m_{\max} = \max\{m > 0 \mid c_\eta(-m) \neq 0 \text{ for some } \eta\}.$$

**Theorem 1.3.** *Let  $-d$  be an odd fundamental discriminant and assume  $U \simeq k = \mathbb{Q}(\sqrt{-d})$ . Also assume that  $L_- \simeq \mathfrak{a}$  for an  $\mathcal{O}_k$ -ideal  $\mathfrak{a}$ . Then the only primes which occur in the factorization of the rational part of*

$$\prod_{z \in Z(U)_K} \|\Psi(z; F)\|^2$$

are

(i)  $q$  such that  $q \mid d$ ,

(ii)  $p$  inert in  $k$  with  $p \leq dm_{\max}$ .

As mentioned in Theorem 1.1, one striking phenomenon that occurs in this paper is that the regularized theta lift  $\Phi(F)$  is always finite! This is interesting since the Borcherds form  $\Psi(F)$  can have zeroes or poles, and (2) only holds when the right

hand side is finite. Considering this, one might say that the theta lift is *over-regularized*, and it would be interesting to find the analog of Corollary 1.2 when  $Z(U)_K$  meets the divisor of  $\Psi(F)$ .

There exists lots of recent work on singular moduli, particularly traces of singular moduli (e.g. [1], [4] and [19]). By considering the case of signature  $(1, 2)$ , Theorem 1.3 of [9] can be recovered from Theorem 1.1. The appropriate quadratic space is

$$V = \{x \in M_2(\mathbb{Z}) \mid \text{tr}(x) = 0\}$$

with  $Q(x) = \det(x)$ . For a particular choice of  $F$ ,

$$\prod_{z \in Z(U)_K} \Psi(z; F) = \prod_{[\tau_1], [\tau_2]} \left( j(\tau_1) - j(\tau_2) \right),$$

where  $\tau_1$  and  $\tau_2$  are CM points with relatively prime fundamental discriminants and  $[\tau_i]$  denotes an equivalence class modulo  $SL_2(\mathbb{Z})$ . The right hand side of (4) then gives the same factorization as in [9]. We will discuss this new proof of Gross-Zagier in a subsequent paper.

## 2. MAIN THEOREM IN THE CASE OF SIGNATURE $(0, 2)$

**2.1. Basic Setup.** We begin by introducing some notation and relevant background material, and we refer the reader to section 1 of [12] for more details. Let  $V$  be a vector space over  $\mathbb{Q}$  of dimension  $n + 2$  with quadratic form  $Q$ , of signature  $(n, 2)$ , on  $V$ . Let  $D$  be the space of oriented negative-definite 2-planes in  $V(\mathbb{R})$ . For  $z \in D$ , let  $\text{pr}_z : V(\mathbb{R}) \rightarrow z$  be the projection map and, for  $x \in V(\mathbb{R})$ , let  $R(x, z) = -(\text{pr}_z(x), \text{pr}_z(x))$ . Then we define

$$(x, x)_z = (x, x) + 2R(x, z),$$

and our Gaussian for  $V$  is the function

$$\varphi_\infty(x, z) = e^{-\pi(x, x)_z}.$$

For  $\tau \in \mathfrak{H}$ ,  $\tau = u + iv$ , let

$$g_\tau = \begin{pmatrix} 1 & u \\ & 1 \end{pmatrix} \begin{pmatrix} v^{\frac{1}{2}} & \\ & v^{-\frac{1}{2}} \end{pmatrix},$$

and  $g'_\tau = (g_\tau, 1) \in Mp_2(\mathbb{R})$ . Let  $l = \frac{n}{2} - 1$ ,  $G = SL_2$  and  $\omega$  be the Weil representation of the metaplectic group  $G'_\mathbb{A}$  on  $S(V(\mathbb{A}))$ , the Schwartz space of  $V(\mathbb{A})$ . If  $H = \text{GSpin}(V)$ , then for the linear action of  $H(\mathbb{A}_f)$  we write  $\omega(h)\varphi(x) = \varphi(h^{-1}x)$  for  $\varphi \in S(V(\mathbb{A}_f))$ . If  $z \in D$  and  $h \in H(\mathbb{A}_f)$ , we have the linear functional on  $S(V(\mathbb{A}_f))$  given by

$$(8) \quad \varphi \longmapsto \theta(\tau, z, h; \varphi) = v^{-\frac{l}{2}} \sum_{x \in V(\mathbb{Q})} \omega(g'_\tau)(\varphi_\infty(\cdot, z) \otimes \omega(h)\varphi)(x).$$

Let  $L \subset V$  be a lattice with dual

$$L^\vee = \{x \in V \mid (x, L) \subseteq \mathbb{Z}\}$$

and let  $S_L \subset S(V(\mathbb{A}_f))$  be the space of functions with support in  $\hat{L}^\vee$  and constant on cosets of  $\hat{L}$ , where  $\hat{L} = L \otimes_{\mathbb{Z}} \hat{\mathbb{Z}}$ . We remark that  $S_L$  is finite dimensional and has a natural basis given by

$$\{\varphi_\eta = \text{char}(\eta + L) \mid \eta \in L^\vee/L\}.$$

We also have

$$S(V(\mathbb{A}_f)) = \varinjlim_L S_L.$$

Let  $\Gamma' = Mp_2(\mathbb{Z})$  be the full inverse image of  $\Gamma = SL_2(\mathbb{Z}) \subset G(\mathbb{R})$  in  $G'_{\mathbb{R}}$ . For  $F : \mathfrak{H} \rightarrow S_L$ , the Fourier expansion of  $F$  can be written

$$(9) \quad F(\tau) = \sum_{\eta} F_{\eta}(\tau) \varphi_{\eta} = \sum_{\eta} \sum_m c_{\eta}(m) \mathbf{q}^m \varphi_{\eta}.$$

**Definition 2.1.** We say  $F : \mathfrak{H} \rightarrow S_L$  is a weakly holomorphic modular form of weight  $1 - \frac{n}{2}$  and type  $\omega$  for  $\Gamma'$  if

- (i)  $F(\gamma'\tau) = (c\tau + d)^{1-\frac{n}{2}} \omega(\gamma')(F(\tau))$  for all  $\gamma' \in \Gamma'$ , where  $\gamma' \mapsto \gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \Gamma$ ,
- (ii)  $F$  is meromorphic at the cusp, i.e., only a finite number of the  $c_{\eta}(m)$ 's with  $m < 0$  are non-zero.

Note that when  $n$  is even,  $\omega$  is a representation of  $G_{\mathbb{A}}$  and we can just work with  $\Gamma$ . The Fourier expansion in (9) is essentially the Fourier expansion given in [2], where in that paper he works with group ring elements  $\mathbf{e}_{\eta} \in \mathbb{C}[L^{\vee}/L]$  instead of the Schwartz functions  $\varphi_{\eta}$ . Since the theta function  $\theta(\tau, z, h)$  is a linear functional and  $F(\tau) \in S(V(\mathbb{A}_f))$ , we can define the  $\mathbb{C}$ -bilinear pairing

$$((F(\tau), \theta(\tau, z, h))) = \theta(\tau, z, h; F(\tau)).$$

In terms of the Fourier expansion of  $F$ , this is

$$((F(\tau), \theta(\tau, z, h))) = \sum_{\eta} F_{\eta}(\tau) \theta(\tau, z, h; \varphi_{\eta}).$$

Note that as a function of  $\tau$ , the above pairing is  $\Gamma$ -invariant (with a pole at the cusp) since the weights of  $\theta$  and  $F$  cancel and their types are dual. Using this pairing we define

$$\Phi(z, h; F) := \int_{\Gamma \backslash \mathfrak{H}}^{\bullet} ((F(\tau), \theta(\tau, z, h))) d\mu(\tau),$$

where  $d\mu(\tau) = v^{-2} du dv$  and the integral is regularized as in [2]. The regularization is defined by

$$(10) \quad \int_{\Gamma \backslash \mathfrak{H}}^{\bullet} \phi(\tau) d\mu(\tau) = \text{CT}_{\sigma=0} \left\{ \lim_{t \rightarrow \infty} \int_{\mathcal{F}_t} \phi(\tau) v^{-\sigma} d\mu(\tau) \right\},$$

where we take the constant term in the Laurent expansion at  $\sigma = 0$  of

$$\lim_{t \rightarrow \infty} \int_{\mathcal{F}_t} \phi(\tau) v^{-\sigma} d\mu(\tau),$$

defined initially for  $\text{Re}(\sigma)$  sufficiently large. Here  $\mathcal{F}$  is the usual fundamental domain for the action of  $\Gamma$  on  $\mathfrak{H}$  and

$$\mathcal{F}_t = \{\tau \in \mathcal{F} \mid \text{Im}(\tau) \leq t\}$$

is the truncated fundamental domain.

**2.2. Borchers Forms.** The space  $D$  is a bounded symmetric domain. It can be viewed as an open subset  $\mathcal{Q}_-$  of a quadric in  $\mathbb{P}(V(\mathbb{C}))$ . Explicitly,

$$D \simeq \mathcal{Q}_- = \{w \in V(\mathbb{C}) \mid (w, w) = 0, (w, \bar{w}) < 0\} / \mathbb{C}^\times,$$

where the explicit isomorphism is  $[z_1, z_2] \mapsto w = z_1 + iz_2$  for a properly oriented basis  $[z_1, z_2]$ . Assume  $K$  is a compact open subgroup of  $H(\mathbb{A}_f)$  such that  $H(\mathbb{A}) = H(\mathbb{Q})H(\mathbb{R})^+K$ , where  $H(\mathbb{R})^+$  is the identity component of  $H(\mathbb{R})$ . Define

$$X_K := H(\mathbb{Q}) \backslash (D \times H(\mathbb{A}_f) / K).$$

This is the set of complex points of a quasi-projective variety rational over  $\mathbb{Q}$ , and if  $\Gamma_K = H(\mathbb{Q}) \cap H(\mathbb{R})^+K$ , then  $X_K \simeq \Gamma_K \backslash D^+$ , where  $D^+ \subset D$  is the subset of positively oriented 2-planes.

Let  $\mathcal{L}_D$  be the restriction to  $D \simeq \mathcal{Q}_-$  of the tautological line bundle on  $\mathbb{P}(V(\mathbb{C}))$ . From this we get a holomorphic line bundle  $\mathcal{L}$  on  $X_K$  equipped with a natural norm,  $\|\cdot\|_{\text{nat}}$ , called the Petersson norm. Assume we have

$$V(\mathbb{R}) = V_0 + \mathbb{R}e + \mathbb{R}f,$$

where  $e$  and  $f$  are such that  $(e, f) = 1, (e, e) = 0 = (f, f)$ . Then  $\text{sig}(V_0) = (n-1, 1)$  and for the negative cone

$$\mathcal{C} = \{y \in V_0 \mid (y, y) < 0\},$$

we have

$$D \simeq \mathbb{D} := \{z \in V_0(\mathbb{C}) \mid y = \text{Im}(z) \in \mathcal{C}\}.$$

The explicit isomorphism is

$$\mathbb{D} \rightarrow V(\mathbb{C}), z \mapsto w(z) := z + e - Q(z)f$$

composed with projection to  $\mathcal{Q}_-$ . The map  $z \mapsto w(z)$  can be viewed as a holomorphic section of  $\mathcal{L}_D$ .

We now define the notion of a modular form on  $D \times H(\mathbb{A}_f)$ .

**Definition 2.2.** A modular form on  $D \times H(\mathbb{A}_f)$  of weight  $m \in \frac{1}{2}\mathbb{Z}$  is a function  $\Psi : D \times H(\mathbb{A}_f) \rightarrow \mathbb{C}$  such that

- (1)  $\Psi(z, hk) = \Psi(z, h)$  for all  $k \in K$ ,
- (2)  $\Psi(\gamma z, \gamma h) = j(\gamma, z)^m \Psi(z, h)$  for all  $\gamma \in H(\mathbb{Q})$ , where  $j(\gamma, z)$  is an automorphy factor.

Meromorphic modular forms on  $D \times H(\mathbb{A}_f)$  of weight  $m \in \mathbb{Z}$  can be identified with meromorphic sections of  $\mathcal{L}^{\otimes m}$ . If  $\Psi$  is such a meromorphic modular form, then the Petersson norm of the section  $(z, h) \mapsto \Psi(z, h)w(z)^{\otimes m}$  associated to  $\Psi$  is

$$\|\Psi(z, h)\|_{\text{nat}}^2 = |\Psi(z, h)|^2 |y|^{2m}.$$

For reasons we will see below, we renormalize  $\|\cdot\|_{\text{nat}}$  and instead work with the following norm

$$\|\Psi(z, h)\|^2 := \|\Psi(z, h)\|_{\text{nat}}^2 \left(2\pi e^{\Gamma'(1)}\right)^m.$$

The “extra” constant in the metric here is related to that occurring in [14]. Borchers proved that the regularized integral  $\Phi(z, h; F)$  satisfies the equation

$$\begin{aligned} (11) \quad \Phi(z, h; F) &= -2 \log \|\Psi(z, h; F)\|_{\text{nat}}^2 - c_0(0)(\log(2\pi) + \Gamma'(1)) \\ &= -2 \log \|\Psi(z, h; F)\|^2 \end{aligned}$$

for a meromorphic modular form  $\Psi(F)$  on  $D \times H(\mathbb{A}_f)$  of weight  $m = \frac{1}{2}c_0(0)$  when  $z$  does not lie in the divisor of  $\Psi(F)$ .

**Remark 2.3.** In fact,  $\Phi(F)$  may still be finite for  $z \in D$  even if  $z$  lies in the divisor of  $\Psi$ . This value of  $\Phi(F)$  must have another meaning there.

**Definition 2.4.** A Borchers form  $\Psi(F)$  is a meromorphic modular form on  $D \times H(\mathbb{A}_f)$  which arises (via (11)) from the regularized theta lift of a modular form  $F$ .

**2.3. CM Points.** Assume that we have a rational splitting

$$V = V_+ \oplus U,$$

where  $V_+$  has signature  $(n, 0)$  and  $U$  has signature  $(0, 2)$ . This determines a two-point subset  $\{z_0^\pm\} = D_0 \subset D$  given by  $U(\mathbb{R})$  with its two orientations. For  $z_0 \in D_0$ , we are interested in computing the integral

$$(12) \quad \int_{SO(U)(\mathbb{Q}) \backslash SO(U)(\mathbb{A}_f)} \Phi(z_0, h; F) dh.$$

Let  $T = \mathrm{GSpin}(U)$  and note there is a natural homomorphism  $T \rightarrow H$ . Let  $K$  be as in section 2.2 and define  $K_T = K \cap T(\mathbb{A}_f)$ . Consider the set of CM points

$$Z(U)_K := T(\mathbb{Q}) \backslash (D_0 \times T(\mathbb{A}_f)/K_T) \hookrightarrow X_K.$$

We want to compute

$$\mathrm{vol}(K_T) \sum_{z \in Z(U)_K} \Phi(z; F) = -2 \int_{SO(U)(\mathbb{Q}) \backslash SO(U)(\mathbb{A}_f)} \Phi(z_0, h; F) dh.$$

Note that after normalizing by the volume of  $K_T$ , this expression is independent of the choice of  $K$ .

**2.4. Convergence Questions and Regularization.** First we consider the case when  $n = 0$  and our space  $V = U$  is negative-definite. In this case,  $D = D_0$ , the Gaussian is  $\varphi_\infty(x) = e^{\pi(x, x)}$  and the theta function is

$$(13) \quad \theta(\tau, z_0, h; \varphi) = v^{\frac{1}{2}} \sum_{x \in U(\mathbb{Q})} \omega(g'_\tau) e^{\pi(x, x)} \varphi(h^{-1}x),$$

for any  $\varphi \in S(U(\mathbb{A}_f))$ . When  $n = 0$  and we have a lattice  $L \subset U$  we write  $\mu \in L^\vee/L$  and  $\varphi_\mu = \mathrm{char}(\mu + L)$ . Let  $F(\tau)$  be a weakly holomorphic modular form of weight 1 valued in  $S_L$ , and let

$$(14) \quad F(\tau) = \sum_{\mu} F_\mu(\tau) \varphi_\mu = \sum_{\mu} \sum_{m \in \mathbb{Q}} c_\mu(m) \mathbf{q}^m \varphi_\mu,$$

where  $\mu$  runs over  $L^\vee/L$ . We assume  $c_\mu(m) \in \mathbb{Z}$  for  $m \leq 0$ . The functions  $F_\mu$  are meromorphic modular forms with some real multiplier for a congruence subgroup of  $SL_2(\mathbb{Z})$ , and it will be very useful to know how large their Fourier coefficients can be.

**Lemma 2.5.** Assume  $m_\mu \in \mathbb{Z}$  is such that  $c_\mu(m_\mu) \neq 0$  and  $c_\mu(m) = 0$  for all  $m < m_\mu$ . Then there are constants  $C$  and  $C'$  such that, for  $m > 0$ ,

$$|c_\mu(m)| \leq C' \left( (-m_\mu + 2)(m - m_\mu)^6 + m^6 e^{C\sqrt{m}} \right),$$

where  $C$  depends on  $m_\mu$  and on the multiplier and  $C'$  depends on the polar part of  $F_\mu$ .



*Proof.* The cusp form of weight 12,  $(2\pi)^{-12}\Delta(\tau) = \mathbf{q}\prod_{n=1}^{\infty}(1 - \mathbf{q}^n)^{24}$ , has Fourier expansion

$$(2\pi)^{-12}\Delta(\tau) = \sum_{N=1}^{\infty} \tau(N)\mathbf{q}^N,$$

where  $|\tau(N)| \leq C_1 N^6$  for some constant  $C_1$ . Let  $\tilde{\Delta}(\tau) = (2\pi)^{-12}\Delta(\tau)$ . We can look at  $F_\mu/\tilde{\Delta}$ , which has weight  $-11 = 1 - \frac{24}{2}$ . If

$$F_\mu/\tilde{\Delta} = \sum_{m=m_\mu-1}^{\infty} a_\mu(m)\mathbf{q}^m,$$

then for  $m > 0$ , (3.38) of [12] tells us there are constants  $C_2$  and  $C$  such that

$$|a_\mu(m)| \leq C_2 m^{-\frac{25}{4}} e^{C\sqrt{m}},$$

where  $C$  depends on  $m_\mu$  and on the multiplier. We have

$$\begin{aligned} F_\mu(\tau) &= \left( \sum_{N=1}^{\infty} \tau(N)\mathbf{q}^N \right) \left( \sum_{m=m_\mu-1}^{\infty} a_\mu(m)\mathbf{q}^m \right) \\ &= \sum_{N=1}^{\infty} \sum_{m=m_\mu-1}^{\infty} \tau(N)a_\mu(m)\mathbf{q}^{N+m} \\ &= \sum_{m=m_\mu}^{\infty} \left[ \sum_{N=1}^{m-m_\mu+1} \tau(N)a_\mu(m-N) \right] \mathbf{q}^m. \end{aligned}$$

Then

$$\begin{aligned} |c_\mu(m)| &= \left| \sum_{N=1}^{m-m_\mu+1} \tau(N)a_\mu(m-N) \right| \\ &= \left| \sum_{N \geq m} \tau(N)a_\mu(m-N) + \sum_{0 < N < m} \tau(N)a_\mu(m-N) \right| \\ &\leq C_1 \sum_{N=m}^{m-m_\mu+1} N^6 |a_\mu(m-N)| + C_1 C_2 \sum_{0 < N < m} N^6 (m-N)^{-\frac{25}{4}} e^{C\sqrt{m-N}}. \end{aligned}$$

We know there is a constant  $C_3$  such that  $|a_\mu(m)| \leq C_3$  for  $m \in \{m_\mu, \dots, 0\}$ , and thus

$$\begin{aligned} |c_\mu(m)| &\leq C_1 C_3 (-m_\mu + 2)(m - m_\mu)^6 + C_1 C_2 m^6 e^{C\sqrt{m}} \\ &\leq C' \left( (-m_\mu + 2)(m - m_\mu)^6 + m^6 e^{C\sqrt{m}} \right), \end{aligned}$$

for some constant  $C'$ . □

In the  $n = 0$  case, the following over-regularization phenomenon occurs:

**Proposition 2.6.** *For  $h \in H(\mathbb{A}_f)$ ,*

$$\Phi(z_0, h; F) = \int_{\Gamma \backslash \mathfrak{H}}^{\bullet} ((F(\tau), \theta(\tau, z_0, h))) d\mu(\tau)$$

*is always finite.*

*Proof.* This case corresponds to signature  $(2, 0)$  in [2]. In Theorem 6.2 of [2], Borchers points out that  $\Phi$  is nonsingular except along a locally finite set of codimension 2 sub-Grassmannians  $\lambda^\perp$ , for some negative norm vectors  $\lambda \in L$ . No such vectors exist in signature  $(2, 0)$ . For ease of the reader, we give the proof in our notation. We have

$$(15) \quad \int_{\Gamma \setminus \mathfrak{H}} ((F(\tau), \theta(\tau, z_0, h))) d\mu(\tau) = \text{CT} \left\{ \lim_{\sigma=0} \int_{\mathcal{F}_t} \theta(\tau, z_0, h; F) v^{-\sigma} d\mu(\tau) \right\},$$

and we can write the integral on the right hand side of (15) as

$$\int_1^t \int_{-\frac{1}{2}}^{\frac{1}{2}} \theta(\tau, z_0, h; F) v^{-\sigma} d\mu(\tau) + \int_{\mathcal{F}_1} \theta(\tau, z_0, h; F) v^{-\sigma} d\mu(\tau).$$

The integral over the compact set  $\mathcal{F}_1$  is finite and independent of  $t$ , so we just look at the first part. By [16], we have

$$\omega(g'_\tau) e^{\pi(x, x)} = v^{\frac{1}{2}} e(uQ(x)) e^{2\pi v Q(x)},$$

where  $e(y) = e^{2\pi i y}$ . Then (13) is

$$\theta(\tau, z_0, h; \varphi) = v \sum_{x \in U(\mathbb{Q})} e(uQ(x)) e^{2\pi v Q(x)} \varphi(h^{-1}x),$$

and so the integral over  $\mathcal{F}_t - \mathcal{F}_1$  is

$$(16) \quad \sum_{\mu} \sum_{m \in \mathbb{Q}} \sum_{x \in U(\mathbb{Q})} c_{\mu}(m) \varphi_{\mu}(h^{-1}x) \int_1^t \int_{-\frac{1}{2}}^{\frac{1}{2}} e(um) e(uQ(x)) e^{-2\pi v m} e^{2\pi v Q(x)} v^{-\sigma-1} du dv.$$

**Lemma 2.7.** *If  $m + Q(x) \notin \mathbb{Z}$ , then  $c_{\mu}(m) = 0$ .*

*Proof.* When we consider the transformation law for  $F$ , we have  $F(\tau + 1) = \omega(T)(F(\tau))$ . That is, for any  $x \in U(\mathbb{A}_f)$ ,

$$\begin{aligned} \sum_{\mu} \sum_m c_{\mu}(m) \mathbf{q}^m e(m) \varphi_{\mu}(x) &= \omega(T) \left( \sum_{\mu} \sum_m c_{\mu}(m) \mathbf{q}^m \varphi_{\mu} \right) (x) \\ &= \sum_{\mu} \sum_m c_{\mu}(m) \mathbf{q}^m \omega(T)(\varphi_{\mu})(x) \\ &= \sum_{\mu} \sum_m c_{\mu}(m) \mathbf{q}^m e(-Q(x)) \varphi_{\mu}(x). \end{aligned}$$

We see  $m + Q(x) \notin \mathbb{Z}$  implies  $c_{\mu}(m) = 0$ . □

For  $m + Q(x) \in \mathbb{Z}$ ,

$$\int_{-\frac{1}{2}}^{\frac{1}{2}} e(um) e(uQ(x)) du = \begin{cases} 1 & \text{if } m + Q(x) = 0, \\ 0 & \text{otherwise.} \end{cases}.$$

Integrating with respect to  $u$  in (16) and letting  $t \rightarrow \infty$  gives

$$(17) \quad \sum_{\mu} \sum_{\substack{m \in \mathbb{Q} \\ m \geq 0}} \sum_{\substack{x \in U(\mathbb{Q}) \\ Q(x)+m=0}} c_{\mu}(m) \varphi_{\mu}(h^{-1}x) \int_1^{\infty} e^{-4\pi m v} v^{-\sigma-1} dv.$$

We have  $m \geq 0$  since  $Q(x) \leq 0$ . When  $m = 0$ , we get

$$\sum_{\mu} c_{\mu}(0) \varphi_{\mu}(0) \int_1^t v^{-\sigma-1} dv = c_0(0) \frac{1}{\sigma} (1 - t^{-\sigma}),$$

which equals zero when we take the limit as  $t \rightarrow \infty$  followed by the constant term at  $\sigma = 0$ . For  $m > 0$ , (3.35) of [12] says

$$\int_0^{\infty} e^{-4\pi m v} v^{-\sigma-1} dv \leq C(\epsilon, \sigma) e^{-4\pi m}$$

for any  $\epsilon$  with  $0 < \epsilon < 4\pi m$ , where the constant  $C(\epsilon, \sigma)$  is uniform in any  $\sigma$ -halfplane and independent of  $m$ . Using this in (17), we have

$$C(\epsilon, \sigma) \sum_{\mu} \sum_{m > 0} c_{\mu}(m) e^{-4\pi m} \sum_{\substack{x \in U(\mathbb{Q}) \\ Q(x)+m=0}} \varphi_{\mu}(h^{-1}x),$$

which is finite by Lemma 2.5.  $\square$

**2.5. Eisenstein Series.** Here we give the basic definition of an Eisenstein series and some related theory when  $V$  has signature  $(n, 2)$  for  $n$  even. What follows is a summary of the explanations given in [12] for  $n$  even, and we refer the reader to that paper for the more general theory. Inside of  $G_{\mathbb{A}}$ , we have the subgroups

$$N_{\mathbb{A}} = \{n(b) \mid b \in \mathbb{A}\}, \quad n(b) = \begin{pmatrix} 1 & b \\ & 1 \end{pmatrix},$$

and

$$M_{\mathbb{A}} = \{m(a) \mid a \in \mathbb{A}^{\times}\}, \quad m(a) = \begin{pmatrix} a & \\ & a^{-1} \end{pmatrix}.$$

Define the quadratic character  $\chi = \chi_V$  of  $\mathbb{A}^{\times}/\mathbb{Q}^{\times}$  by

$$\chi(x) = (x, -\det(V)),$$

where  $\det(V) \in \mathbb{Q}^{\times}/(\mathbb{Q}^{\times})^2$  is the determinant of the matrix for the quadratic form  $Q$  on  $V$ . For  $s \in \mathbb{C}$ , let  $I(s, \chi)$  be the principal series representation of  $G_{\mathbb{A}}$ . This space consists of smooth functions  $\Phi(s)$  on  $G_{\mathbb{A}}$  such that

$$\Phi(n(b)m(a)g, s) = \chi(a)|a|^{s+1}\Phi(g, s).$$

We have a  $G_{\mathbb{A}}$ -intertwining map

$$(18) \quad \lambda = \lambda_V : S(V(\mathbb{A})) \rightarrow I\left(\frac{n}{2}, \chi\right),$$

where  $\lambda(\varphi)(g) = (\omega(g)\varphi)(0)$ . If  $K_{\infty} = SO(2)$  and  $K_f = SL_2(\hat{\mathbb{Z}})$ , then a section  $\Phi(s) \in I(s, \chi)$  is called standard if its restriction to  $K_{\infty}K_f$  is independent of  $s$ . The function  $\lambda(\varphi)$  has a unique extension to a standard section  $\Phi(s) \in I(s, \chi)$  such

that  $\Phi\left(\frac{n}{2}\right) = \lambda(\varphi)$ . We let  $P = MN$  and define the Eisenstein series associated to  $\Phi(s)$  by

$$E(g, s; \Phi) = \sum_{\gamma \in P_{\mathbb{Q}} \backslash G_{\mathbb{Q}}} \Phi(\gamma g, s),$$

where  $G_{\mathbb{Q}}$  is identified with its image in  $G_{\mathbb{A}}$ . This series converges for  $\operatorname{Re}(s) > 1$  and has a meromorphic analytic continuation to the whole  $s$ -plane.

One step in proving the  $(0, 2)$ -Theorem is to apply Maass operators to obtain a relation between two Eisenstein series. Let

$$X_{\pm} = \frac{1}{2} \begin{pmatrix} 1 & \pm i \\ \pm i & -1 \end{pmatrix} \in \mathfrak{sl}_2(\mathbb{C}).$$

For  $r \in \mathbb{Z}$ , let  $\chi_r$  be the character of  $K_{\infty}$  defined by

$$\chi_r(k_{\theta}) = e^{ir\theta}, \quad k_{\theta} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \in K_{\infty}.$$

Let  $\phi : G_{\mathbb{R}} \rightarrow \mathbb{C}$  be a smooth function of weight  $l$ , meaning  $\phi(gk_{\theta}) = \chi_l(k_{\theta})\phi(g)$ , and let  $\xi(\tau) = v^{-\frac{l}{2}}\phi(g_{\tau})$  be the corresponding function on  $\mathfrak{H}$ . Then  $X_{\pm}\phi$  has weight  $l \pm 2$ , and the corresponding function on  $\mathfrak{H}$  is

$$v^{-\frac{l \pm 2}{2}} X_{\pm}\phi(g_{\tau}) = \begin{cases} \left(2i\frac{\partial \xi}{\partial \tau} + \frac{l}{v}\xi\right)(\tau) & \text{for } +, \\ -2iv^2\frac{\partial \xi}{\partial \bar{\tau}}(\tau) & \text{for } -. \end{cases}$$

**Lemma 2.8** (Lemma 2.7 of [12]). *Let  $\Phi_{\infty}^r(s) \in I_{\infty}(s, \chi)$  be the normalized eigenvector of weight  $r$  for the action of  $K_{\infty}$ . Then*

$$X_{\pm}\Phi_{\infty}^r(s) = \frac{1}{2}(s + 1 \pm r)\Phi_{\infty}^{r \pm 2}(s).$$

For  $\varphi \in S(V(\mathbb{A}_f))$ , let  $E(g, s; \Phi_{\infty}^r \otimes \lambda(\varphi))$  be the Eisenstein series of weight  $r$  on  $G_{\mathbb{A}}$  associated to  $\varphi$ . For the Gaussian,  $\varphi_{\infty}(x, z)$ , we have  $\lambda(\varphi_{\infty}) = \Phi_{\infty}^l\left(\frac{n}{2}\right)$ , where  $l = \frac{n}{2} - 1$ . This means that

$$X_{-}E(g, s; \Phi_{\infty}^{l+2} \otimes \lambda(\varphi)) = \frac{1}{2}(s - l - 1)E(g, s; \Phi_{\infty}^l \otimes \lambda(\varphi)).$$

On  $\mathfrak{H}$ , this translates to

$$(19) \quad -2iv^2\frac{\partial}{\partial \bar{\tau}}\left\{E(\tau, s; \varphi, l+2)\right\} = \frac{1}{2}\left(s - \frac{n}{2}\right)E(\tau, s; \varphi, l),$$

where we write  $E(\tau, s; \varphi, l) = v^{-\frac{l}{2}}E(g_{\tau}, s; \Phi_{\infty}^l \otimes \lambda(\varphi))$ . One main result we need is the Siegel-Weil formula.

**Theorem 2.9** (Siegel-Weil formula). *Let  $V$  be a vector space of signature  $(n, 2)$ . Assume  $V$  is anisotropic or that  $\dim(V) - r_0 > 2$ , where  $r_0$  is the Witt index of  $V$ . Then  $E(g, s; \varphi)$  is holomorphic at  $s = \frac{n}{2}$  and*

$$E\left(g, \frac{n}{2}; \varphi\right) = \frac{\alpha}{2} \int_{SO(V)(\mathbb{Q}) \backslash SO(V)(\mathbb{A})} \theta(g, h; \varphi) dh,$$

where  $dh$  is Tamagawa measure on  $SO(V(\mathbb{A}))$ , and  $\alpha$  is 2 if  $n = 0$  and is 1 otherwise.

Here  $\theta(g, h; \varphi)$  is defined as in (8) without  $v^{-\frac{1}{2}}$  and with  $g$  replacing  $g'_\tau$ . The integration for  $SO(U)(\mathbb{R})$  is with respect to the action  $h_\infty^{-1}x$  in the argument of  $\varphi_\infty$ . The cases which are omitted in the Siegel-Weil formula are when  $n = 1 = r_0$  ( $V$  is isotropic) and  $n = 2 = r_0$  ( $V$  is split).

Let us now consider the situation  $V = U$ ,  $\text{sig}(U) = (0, 2)$ . The representation we are interested in is  $I(0, \chi)$ . This global principal series is a restricted tensor product of local ones,

$$I(0, \chi) = \otimes'_v I_v(0, \chi_v).$$

For the local space  $U_v = U(\mathbb{Q}_v)$ , define the quadratic character  $\chi_v$  of  $\mathbb{Q}_v^\times$  by

$$\chi_v(x) = (x, -\det(U_v))_v.$$

Let  $R_v(U)$  be the maximal quotient of  $S(U_v)$  on which  $O(U_v)$  acts trivially. The following proposition is a special case of Proposition 1.1 of [11].

**Proposition 2.10.** (i) If  $v \neq \infty$ , then

$$I_v(0, \chi_v) = R_v(U^+) \oplus R_v(U^-),$$

where  $U^\pm$  has Hasse invariant  $\epsilon_v(U^\pm) = \pm 1$ .

(ii) If  $v = \infty$ , then

$$I_\infty(0, \chi_\infty) = R_\infty(U(0, 2)) \oplus R_\infty(U(2, 0)),$$

and the spaces  $U(0, 2)$  and  $U(2, 0)$  have opposite Hasse invariants.

Recall the notion of an incoherent collection.

**Definition 2.11.** An incoherent collection  $\mathcal{C} = \{\mathcal{C}_v\}$  of quadratic spaces is a set of quadratic spaces  $\mathcal{C}_v$  such that

- (1) For all  $v$ ,  $\dim_{\mathbb{Q}_v}(\mathcal{C}_v) = 2$ , and  $\chi_{\mathcal{C}_v} = \chi$ .
- (2) For almost all  $v$ ,  $\mathcal{C}_v \simeq U_v$ .
- (3) (Incoherence condition) The product formula fails for the Hasse invariants:

$$\prod_v \epsilon_v(\mathcal{C}_v) = -1.$$

Then we have, cf. (2.10) in [11],

$$I(0, \chi) \simeq \left( \bigoplus_{U'} \Pi(U') \right) \oplus \left( \bigoplus_{\mathcal{C}} \Pi(\mathcal{C}) \right)$$

as a sum of two irreducible pieces defined as follows.  $U'$  runs over all global quadratic spaces of dimension 2 with  $\chi_{U'} = \chi$ , while  $\mathcal{C}$  runs over all incoherent collections of dimension 2 and character  $\chi$ , and

$$\Pi(U') = \otimes'_v R_v(U'), \quad \Pi(\mathcal{C}) = \otimes'_v R_v(\mathcal{C}).$$

For  $\lambda = \lambda_U$  as in (18), we have  $\lambda(\varphi_\infty) = \Phi_\infty^{-1}(0)$ , where  $\Phi_\infty^{-1}$  is the normalized eigenvector of weight  $-1$  for the action of  $K_\infty$ . From the theory of principal series representations, we have  $\Phi_\infty^{-1}(0) \in R_\infty(U(0, 2))$  and  $\Phi_\infty^1(0) \in R_\infty(U(2, 0))$ . Then Lemma 2.8 implies

$$(20) \quad X_+ \Phi_\infty^{-1}(s) = \frac{1}{2} s \Phi_\infty^1(s),$$

so we see that the Maass operator  $X_+$  shifts the coherent Eisenstein series  $E(g, s; \Phi_\infty^{-1} \otimes \lambda(\varphi))$  to the *incoherent* Eisenstein series  $E(g, s; \Phi_\infty^1 \otimes \lambda(\varphi))$ . Theorem 2.2 of [11] then tells us that

$$E(g, 0; \Phi_\infty^1 \otimes \lambda(\varphi)) = 0.$$

**2.6. The (0, 2)-Theorem.** The integral we want to compute is

$$(21) \quad \int_{SO(U)(\mathbb{Q}) \backslash SO(U)(\mathbb{A}_f)} \Phi(z_0, h; F) dh,$$

which is equal to

$$(22) \quad \int_{SO(U)(\mathbb{Q}) \backslash SO(U)(\mathbb{A}_f)} \int_{\Gamma \backslash \mathfrak{H}}^\bullet ((F(\tau), \theta(\tau, z_0, h))) d\mu(\tau) dh.$$

As in [12], we would like to be able to switch the order of integration, where the inside integral is regularized. That is, we want (22) to equal

$$\int_{\Gamma \backslash \mathfrak{H}}^\bullet ((F(\tau), \int_{SO(U)(\mathbb{Q}) \backslash SO(U)(\mathbb{A}_f)} \theta(\tau, z_0, h) dh)) d\mu(\tau).$$

Note that  $F : \mathfrak{H} \rightarrow S_L$  implies  $F(\tau) \in S(U(\mathbb{A}_f))^K$ , where

$$K = \{h \in H(\mathbb{A}_f) \mid h(\lambda + L) = \lambda + L, \forall \lambda \in L^\vee / L\}$$

is an open subset of  $H(\mathbb{A}_f)$ .

Before we justify the interchange of integrals, we need to make some remarks about our specific case. For a reference on Clifford algebras, see [6] or [10]. The Clifford algebra  $C(U)$  can be written as  $C(U) = C^0(U) \oplus C^1(U)$ , where  $C^0(U)$  and  $C^1(U)$  are the even and odd parts, respectively.  $C^0(U)^\times$  acts on  $C^1(U)$  by conjugation. Assume  $U$  has basis  $\{u, v\}$  with  $Q(u) = a, Q(v) = b$  and  $(u, v) = 0$ . Then  $C(U)$  is spanned by  $\{1, u, v, uv\}$  with  $C^0(U) = \text{span}\{1, uv\}$  and  $C^1(U) = \text{span}\{u, v\}$ . By definition,

$$H = \{g \in C^0(U)^\times \mid gUg^{-1} = U\}.$$

Since  $C^1(U) = U$ ,  $H = C^0(U)^\times$ . In  $C^0(U)$  we have  $(uv)^2 = -ab$ , so if  $k = \mathbb{Q}(\sqrt{-ab})$ , then  $H \simeq k^\times$ . This means  $SO(U) \simeq k^1$  and  $k^\times \rightarrow k^1$  is the map

$$x \mapsto \frac{x}{x^\sigma}$$

by Hilbert's Theorem 90. We have the exact sequence

$$1 \rightarrow Z \rightarrow H \rightarrow SO(U) \rightarrow 1,$$

where  $H(\mathbb{A}_f) \simeq k_{\mathbb{A}_f}^\times, H(\mathbb{Q}) \simeq k^\times, Z(\mathbb{A}_f) \simeq \mathbb{Q}_{\mathbb{A}_f}^\times$  and  $Z(\mathbb{Q}) \simeq \mathbb{Q}^\times$ .

**Lemma 2.12.** *For any negative-definite space  $U$  with quadratic form  $Q$  of signature  $(0, 2)$ , we realize  $U \simeq k$  for an imaginary quadratic field  $k$  and  $Q$  is given by a negative multiple of the norm-form.*

If  $B(h)$  is a function on  $H(\mathbb{A}_f)$  which only depends on the image of  $h$  in  $SO(U)(\mathbb{A}_f)$ , then we can view  $B$  as a function on  $SO(U)(\mathbb{A}_f)$  as well.

**Lemma 2.13.** *Let  $B(h)$  be a function on  $H(\mathbb{A}_f)$  depending only on the image of  $h$  in  $SO(U)(\mathbb{Q}) \backslash SO(U)(\mathbb{A}_f)$ . Assume  $B$  is invariant under  $K$  and  $H(\mathbb{Q})$ . Then*

$$\int_{SO(U)(\mathbb{Q}) \backslash SO(U)(\mathbb{A}_f)} B(h) dh = \text{vol}(K) \sum_{h \in H(\mathbb{Q}) \backslash H(\mathbb{A}_f)/K} B(h),$$

and the sum is finite.

*Proof.* We have the exact sequence

$$1 \rightarrow k_{\mathbb{A}}^0 \rightarrow k_{\mathbb{A}}^{\times} \rightarrow \mathbb{R}_+^{\times} \rightarrow 1,$$

where the map to  $\mathbb{R}_+^{\times}$  is the absolute value map. By the product formula,  $k^{\times} \subset k_{\mathbb{A}}^0$  and we know  $k^{\times} \backslash k_{\mathbb{A}}^0$  is compact.

**Lemma 2.14.**  $k_{\mathbb{A}}^{\times} = \mathbb{Q}_{\mathbb{A}}^{\times} k_{\mathbb{A}}^0$ .

*Proof.*  $\mathbb{Q}_{\mathbb{A}}^{\times}$  injects into  $k_{\mathbb{A}}^{\times}$  and also maps onto  $\mathbb{R}_+^{\times}$ . So if  $(a) \in k_{\mathbb{A}}^{\times}$  then  $\exists(b) \in \mathbb{Q}_{\mathbb{A}}^{\times}$  with  $|(b)| = |(a)|$ . Then  $(b) \in \mathbb{Q}_{\mathbb{A}}^{\times} \subset k_{\mathbb{A}}^{\times}$  implies  $k_{\mathbb{A}}^0(b) = k_{\mathbb{A}}^0(a)$ , so  $(a) \in \mathbb{Q}_{\mathbb{A}}^{\times} k_{\mathbb{A}}^0$ .  $\square$

Lemma 2.14 implies

$$k^{\times} \backslash k_{\mathbb{A}}^0 \twoheadrightarrow k^{\times} \mathbb{Q}_{\mathbb{A}}^{\times} \backslash k_{\mathbb{A}}^{\times},$$

and so  $k^{\times} \mathbb{Q}_{\mathbb{A}}^{\times} \backslash k_{\mathbb{A}}^{\times}$  is also compact. The set we integrate over is

$$SO(U)(\mathbb{Q}) \backslash SO(U)(\mathbb{A}_f) = H(\mathbb{Q}) \backslash H(\mathbb{A}_f)/Z(\mathbb{A}_f) \simeq k^{\times} \mathbb{Q}_{\mathbb{A}}^{\times} \backslash k_{\mathbb{A}}^{\times}.$$

This is compact since  $k^{\times} \mathbb{Q}_{\mathbb{A}}^{\times} \backslash k_{\mathbb{A}}^{\times}$  maps onto it. Then  $K$  is open and  $K \supset Z(\mathbb{A}_f)$  so  $H(\mathbb{Q}) \backslash H(\mathbb{A}_f)/K$  is finite. The volume term appears since  $B$  is  $K$ -invariant.  $\square$

**Proposition 2.15.**

$$\begin{aligned} & \int_{SO(U)(\mathbb{Q}) \backslash SO(U)(\mathbb{A}_f)} \int_{\Gamma \backslash \mathfrak{H}}^{\bullet} ((F(\tau), \theta(\tau, z_0, h))) d\mu(\tau) dh \\ &= \int_{\Gamma \backslash \mathfrak{H}}^{\bullet} ((F(\tau), \int_{SO(U)(\mathbb{Q}) \backslash SO(U)(\mathbb{A}_f)} \theta(\tau, z_0, h) dh)) d\mu(\tau). \end{aligned}$$

*Proof.* The main point is that since  $F(\tau) \in S(U(\mathbb{A}_f))^K$ , we know

$$\int_{\Gamma \backslash \mathfrak{H}}^{\bullet} ((F(\tau), \theta(\tau, z_0, h))) d\mu(\tau)$$

is  $K$ -invariant. So if we let

$$B(h) = \int_{\Gamma \backslash \mathfrak{H}}^{\bullet} ((F(\tau), \theta(\tau, z_0, h))) d\mu(\tau),$$

then Lemma 2.13 says

$$\begin{aligned} & \int_{SO(U)(\mathbb{Q}) \backslash SO(U)(\mathbb{A}_f)} B(h) dh = \text{vol}(K) \sum_{h \in H(\mathbb{Q}) \backslash H(\mathbb{A}_f)/K} B(h) \\ (23) \quad &= \int_{\Gamma \backslash \mathfrak{H}}^{\bullet} \text{vol}(K) \sum_{h \in H(\mathbb{Q}) \backslash H(\mathbb{A}_f)/K} \theta(\tau, z_0, h; F(\tau)) d\mu(\tau), \end{aligned}$$

since the sum is finite. Now apply Lemma 2.13 again to  $\theta(\tau, z_0, h; F(\tau))$  and (23) is

$$= \int_{\Gamma \backslash \mathfrak{H}}^{\bullet} ((F(\tau), \int_{SO(U)(\mathbb{Q}) \backslash SO(U)(\mathbb{A}_f)} \theta(\tau, z_0, h) dh)) d\mu(\tau).$$

□

The quadratic space  $U$  is anisotropic, so we can apply Theorem 2.9. This tells us that for any  $\varphi \in S(U(\mathbb{A}))$ ,

$$(24) \quad \int_{SO(U)(\mathbb{Q}) \backslash SO(U)(\mathbb{A})} \theta(\tau, z_0, h; \varphi) dh = v^{\frac{1}{2}} E(g_\tau, 0; \varphi, -1),$$

where  $E(g_\tau, s; \varphi, -1)$  is a coherent Eisenstein series of weight  $-1$ . Since  $\theta(\tau, z_0, h)$  is  $SO(U)(\mathbb{R})$ -invariant, it suffices to integrate over  $SO(U)(\mathbb{Q}) \backslash SO(U)(\mathbb{A}_f)$ . We choose a factorization for the measure  $dh = dh_\infty \times dh_f$  such that  $\text{vol}(SO(U)(\mathbb{R})) = 1$ .

**Lemma 2.16.**

$$(i) \quad \int_{SO(U)(\mathbb{Q}) \backslash SO(U)(\mathbb{A}_f)} \theta(\tau, z_0, h_f; \varphi) dh_f = v^{\frac{1}{2}} E(g_\tau, 0; \varphi, -1).$$

$$(ii) \quad \text{vol}(K)^{-1} = \frac{1}{2} (\#(H(\mathbb{Q}) \backslash H(\mathbb{A}_f)/K)).$$

We let

$$E(\tau, s; -1) := v^{\frac{1}{2}} E(g_\tau, s; -1).$$

Then for (21) we have

$$(25) \quad \int_{SO(U)(\mathbb{Q}) \backslash SO(U)(\mathbb{A}_f)} \Phi(z_0, h; F) dh = \int_{\Gamma \backslash \mathfrak{H}} ((F(\tau), E(\tau, 0; -1))) d\mu(\tau).$$

For  $F$  as in (14), the right hand side of (25) is

$$(26) \quad \int_{\Gamma \backslash \mathfrak{H}} ((F(\tau), E(\tau, 0; -1))) d\mu(\tau) = \int_{\Gamma \backslash \mathfrak{H}} \sum_{\mu} F_{\mu}(\tau) E(\tau, 0; \varphi_{\mu}, -1) v^{-2} du dv.$$

Let

$$I(s, t) := \int_{\mathcal{F}_t} \sum_{\mu} F_{\mu}(\tau) E(\tau, s; \varphi_{\mu}, -1) v^{-2} du dv.$$

In order to state the main theorem of this chapter, we view  $U \simeq k = \mathbb{Q}(\sqrt{-d})$ , where  $-d$  is the discriminant of  $k$ , and let  $\chi_d$  be the character of  $\mathbb{Q}_{\mathbb{A}}^{\times}$  defined by  $\chi_d(x) = (x, -d)_{\mathbb{A}}$ . We define the normalized  $L$ -series

$$\Lambda(s, \chi_d) = \pi^{-\frac{s+1}{2}} \Gamma\left(\frac{s+1}{2}\right) L(s, \chi_d).$$

**Definition 2.17.** For  $\varphi \in S(U(\mathbb{A}_f))$ , let

$$E(\tau, s; \varphi, +1) = \sum_m A_{\varphi}(s, m, v) \mathbf{q}^m,$$

where the Fourier coefficients have Laurent expansions

$$A_{\varphi}(s, m, v) = b_{\varphi}(m, v) s + O(s^2)$$

at  $s = 0$ . For any  $\varphi \in S(U(\mathbb{A}_f))$ , define

$$\kappa_{\varphi}(m) := \begin{cases} \lim_{v \rightarrow \infty} b_{\varphi}(m, v) & \text{if } m > 0, \\ k_0(0) \varphi(0) & \text{if } m = 0, \\ 0 & \text{if } m < 0, \end{cases}$$



where

$$(27) \quad k_0(0) = \log(d) + 2 \frac{\Lambda'(1, \chi_d)}{\Lambda(1, \chi_d)}.$$

For  $\varphi = \varphi_\mu = \text{char}(\mu + L)$  we write

$$A_\mu(s, m, v) = A_{\varphi_\mu}(s, m, v), \quad b_\mu(m, v) = b_{\varphi_\mu}(m, v), \quad \kappa_\mu(m) = \kappa_{\varphi_\mu}(m).$$

**Theorem 2.18** (The  $(0, 2)$ -Theorem). *Let  $F : \mathfrak{H} \rightarrow S_L \subset S(U(\mathbb{A}_f))$  be a weakly holomorphic modular form for  $SL_2(\mathbb{Z})$  of weight 1, with Fourier expansion*

$$F(\tau) = \sum_{\mu} F_{\mu}(\tau) \varphi_{\mu} = \sum_{\mu} \sum_m c_{\mu}(m) \mathbf{q}^m \varphi_{\mu},$$

where  $\mu$  runs over  $L^{\vee}/L$  for some lattice  $L$ . Also, assume  $c_{\mu}(m) \in \mathbb{Z}$  for  $m \leq 0$ . Let

$$\Phi(z_0, h; F) = \int_{\Gamma \backslash \mathfrak{H}}^{\bullet} ((F(\tau), \theta(\tau, z_0, h))) d\mu(\tau).$$

Then

$$\int_{SO(U)(\mathbb{Q}) \backslash SO(U)(\mathbb{A}_f)} \Phi(z_0, h; F) dh = 2 \sum_{\mu} \sum_{m \geq 0} c_{\mu}(-m) \kappa_{\mu}(m).$$

*Proof.* Our proof is similar to that in [12]. The integral we want to compute is given by (26). Letting  $l = -1$  in (19), we have

$$E(\tau, s; \varphi_{\mu}, -1) v^{-2} = \frac{-4i}{s} \frac{\partial}{\partial \bar{\tau}} \{E(\tau, s; \varphi_{\mu}, +1)\}.$$

This means we can write

$$I(s, t) = \frac{1}{2i} \int_{\mathcal{F}_t} d \left( \sum_{\mu} F_{\mu}(\tau) \frac{-4i}{s} E(\tau, s; \varphi_{\mu}, +1) d\tau \right).$$

By Stokes' Theorem, this is

$$\begin{aligned} &= \frac{-2}{s} \int_{\partial \mathcal{F}_t} \sum_{\mu} F_{\mu}(\tau) E(\tau, s; \varphi_{\mu}, +1) d\tau \\ &= \frac{-2}{s} \int_{\frac{1}{2}+it}^{-\frac{1}{2}+it} \sum_{\mu} F_{\mu}(\tau) E(\tau, s; \varphi_{\mu}, +1) du \\ (28) \quad &= \frac{2}{s} \cdot \text{const. term of} \left( \sum_{\mu} F_{\mu}(\tau) E(\tau, s; \varphi_{\mu}, +1) \right) \Big|_{v=t}. \end{aligned}$$

The definition of the regularized integral implies

$$\begin{aligned} &\int_{\Gamma \backslash \mathfrak{H}}^{\bullet} ((F(\tau), E(\tau, 0))) d\mu(\tau) = \\ &\text{CT} \left\{ \lim_{\sigma=0} \int_{\mathcal{F}_t} \sum_{\mu} F_{\mu}(\tau) E(\tau, 0; \varphi_{\mu}, -1) v^{-\sigma-2} du dv \right\}. \end{aligned}$$

We need Proposition 2.5 of [12] to hold for  $n = 0$ . If we use Proposition 2.6 of [12] and the fact that a factor of 2 appears in the Siegel-Weil formula here, then in our notation the analogue of Proposition 2.5 of [12] is

**Proposition 2.19.**

$$\begin{aligned} & \text{CT}_{\sigma=0} \left\{ \lim_{t \rightarrow \infty} \int_{\mathcal{F}_t} \sum_{\mu} F_{\mu}(\tau) E(\tau, 0; \varphi_{\mu}, -1) v^{-\sigma-2} dudv \right\} \\ &= \lim_{t \rightarrow \infty} \left[ \int_{\mathcal{F}_t} \sum_{\mu} F_{\mu}(\tau) E(\tau, 0; \varphi_{\mu}, -1) v^{-2} dudv - 2c_0(0) \log(t) \right]. \end{aligned}$$

*Proof.* From Lemma 2.13, the left hand side of the desired identity is

$$\text{vol}(K) \sum_h \text{CT}_{\sigma=0} \left\{ \lim_{t \rightarrow \infty} \int_{\mathcal{F}_t} ((F(\tau), \theta(\tau, z_0, h))) v^{-\sigma-2} dudv \right\},$$

where  $\text{vol}(K) = \frac{2}{\#(H(\mathbb{Q}) \backslash H(\mathbb{A}_f)/K)}$ . Fixing  $h$ , we have

(29)

$$\text{CT}_{\sigma=0} \left\{ \lim_{t \rightarrow \infty} \int_{\mathcal{F}_t - \mathcal{F}_1} ((F(\tau), \theta(\tau, z_0, h))) v^{-\sigma-2} dudv \right\} + \int_{\mathcal{F}_1} ((F(\tau), \theta(\tau, z_0, h))) d\mu(\tau).$$

The first term in (29) can be written as

$$(30) \quad \text{CT}_{\sigma=0} \left\{ \lim_{t \rightarrow \infty} \int_1^t C(v, h) v^{-\sigma-1} dv \right\},$$

where

$$\begin{aligned} C(v, h) &= v^{-1} \int_{-\frac{1}{2}}^{\frac{1}{2}} ((F(\tau), \theta(\tau, z_0, h))) du \\ &= \text{const. term of } v^{-1} ((F(\tau), \theta(\tau, z_0, h))) \\ &= \sum_{\mu} \sum_{\substack{m \in \mathbb{Q} \\ m \geq 0}} c_{\mu}(m) \sum_{\substack{x \in U(\mathbb{Q}) \\ Q(x) + m = 0}} \varphi_{\mu}(h^{-1}x) e^{4\pi v Q(x)}. \end{aligned}$$

Then we write (30) as

$$(31) \quad \text{CT}_{\sigma=0} \left\{ \lim_{t \rightarrow \infty} \int_1^t [C(v, h) - c_0(0)] v^{-\sigma-1} dv + \lim_{t \rightarrow \infty} \int_1^t c_0(0) v^{-\sigma-1} dv \right\}.$$

As in [12],

$$\int_1^{\infty} [C(v, h) - c_0(0)] v^{-\sigma-1} dv$$

is a holomorphic function of  $\sigma$ . Note, this fact follows, in part, from Lemma 2.5.

For the other piece of (31) we have

$$\int_1^t c_0(0) v^{-\sigma-1} dv = c_0(0) \frac{1}{\sigma} (1 - t^{-\sigma}).$$

This term makes no contribution when we take the limit as  $t \rightarrow \infty$  followed by the constant term at  $\sigma = 0$ . We are left with

$$\lim_{t \rightarrow \infty} \left[ \int_1^t C(v, h) v^{-1} dv - \int_1^t c_0(0) v^{-1} dv \right] = \lim_{t \rightarrow \infty} \left[ \int_1^t C(v, h) v^{-1} dv - c_0(0) \log(t) \right].$$

We have the volume term in front and we sum over  $h \in H(\mathbb{Q}) \backslash H(\mathbb{A}_f)/K$ , so this adds on a factor of 2.  $\square$

We point out that the value  $c_0(0)$  appearing in (14) and in Proposition 2.19 is independent of the choice of  $L$ . If we view  $F(\tau) \in S(U(\mathbb{A}_f))$  as  $F(\tau, x)$  for  $x \in U(\mathbb{A}_f)$ , then  $c_0(0)$  is the zeroth Fourier coefficient of  $F(\tau, 0)$ . Proposition 2.19 tells us that

$$\begin{aligned} & \text{CT}_{\sigma=0} \left\{ \lim_{t \rightarrow \infty} \int_{\mathcal{F}_t} \sum_{\mu} F_{\mu}(\tau) E(\tau, 0; \varphi_{\mu}, -1) v^{-\sigma-2} dudv \right\} \\ &= \lim_{t \rightarrow \infty} \left[ \int_{\mathcal{F}_t} \sum_{\mu} F_{\mu}(\tau) E(\tau, 0; \varphi_{\mu}, -1) v^{-2} dudv - 2c_0(0) \log(t) \right] \\ &= \lim_{t \rightarrow \infty} [I(0, t) - 2c_0(0) \log(t)]. \end{aligned}$$

We need to compute  $I(0, t)$ . We have

$$(32) \quad A_{\mu}(s, m, v) = b_{\mu}(m, v)s + O(s^2),$$

where there is no constant term in  $A_{\mu}(s, m, v)$  since  $E(\tau, s; \varphi_{\mu}, +1)$  vanishes at  $s = 0$ . Then (28) implies

$$I(s, t) = \frac{2}{s} \sum_{\mu} \sum_m c_{\mu}(-m) A_{\mu}(s, m, t),$$

so using (32) we have

$$(33) \quad I(0, t) = 2 \sum_{\mu} \sum_m c_{\mu}(-m) b_{\mu}(m, t).$$

Now we show that parts (i) and (ii) of Proposition 2.11 of [12] hold for  $n = 0$ .

**Proposition 2.20.** (i) For  $m < 0$ ,  $b_{\mu}(m, t)$  decays exponentially as  $t \rightarrow \infty$ .

(ii)

$$\lim_{t \rightarrow \infty} \left( 2 \sum_{\mu} \sum_{m < 0} c_{\mu}(-m) b_{\mu}(m, t) \right) = 0.$$

*Proof.* If  $\varphi_{\mu} = \otimes_p \varphi_{\mu, p} \in S(U(\mathbb{A}_f))$  and

$$E(\tau, s; \varphi_{\mu}, +1) = \sum_m E_m(\tau, s; \varphi_{\mu}, +1),$$

then for  $m \neq 0$  we have the product formula

$$E_m(\tau, s; \varphi_{\mu}, +1) = A_{\mu}(s, m, v) \mathbf{q}^m = W_{m, \infty}(\tau, s; +1) \prod_p W_{m, p}(s, \varphi_{\mu, p}),$$

where  $W_{m, \infty}(\tau, s; +1)$  and  $W_{m, p}(s, \varphi_{\mu, p})$  are the local Whittaker factors at  $\infty$  and  $p$ , respectively. Proposition 2.6 (iii) of [13] tells us that for  $m < 0$ ,

$$W_{m, \infty}(\tau, 0; +1) = 0,$$

and

$$W'_{m,\infty}(\tau, 0; +1) = \pi i \mathbf{q}^m \int_1^\infty r^{-1} e^{-4\pi|m|vr} dr.$$

For the finite primes we have

$$C(m) := \left( \prod_p W_{m,p}(s, \varphi_{\mu,p}) \right) \Big|_{s=0} = O(1).$$

Then

$$\begin{aligned} b_\mu(m, t) &= C(m) W'_{m,\infty}(\tau, 0; +1) \\ &= C(m) \pi i \mathbf{q}^m \int_1^\infty r^{-1} e^{-4\pi|m|vr} dr, \end{aligned}$$

and we have

$$|b_\mu(m, t)| = O\left(v^{-1} |m|^{-1} e^{-4\pi|m|v}\right).$$

This proves (i). Part (ii) then follows from Lemma 2.5.  $\square$

Part (ii) of Proposition 2.20 tells us that we may ignore the sum on  $m < 0$  in (33). This means our formula for the integral is

$$\begin{aligned} &\int_{SO(U)(\mathbb{Q}) \backslash SO(U)(\mathbb{A}_f)} \Phi(z_0, h; F) dh = \\ &\lim_{t \rightarrow \infty} \left[ 2 \sum_{\mu} \sum_{m \geq 0} c_\mu(-m) b_\mu(m, t) - 2c_0(0) \log(t) \right]. \end{aligned}$$

We can improve this by looking at the  $m = 0$  part. The analogue of Proposition 2.11 (iii) of [12] is

**Lemma 2.21.** *For  $m = 0$ ,*

$$b_0(0, t) - \log(t) = \log(d) + 2 \frac{\Lambda'(1, \chi_d)}{\Lambda(1, \chi_d)},$$

and for  $\mu \neq 0$ ,  $b_\mu(0, t) = 0$ .

*Proof.* By Theorem 3.1 of [17], we have

$$\begin{aligned} E_0(\tau, s; \varphi_\mu, +1) &= v^{\frac{s}{2}} \varphi_\mu(0) + W_{0,\infty}(\tau, s; +1) \prod_p W_{0,p}(s, \varphi_{\mu,p}) \\ &= v^{\frac{s}{2}} \varphi_\mu(0) - 2\pi i \frac{2^{-s} \Gamma(s) v^{-\frac{s}{2}}}{\Gamma\left(\frac{s}{2} + 1\right) \Gamma\left(\frac{s}{2}\right)} \prod_p W_{0,p}(s, \varphi_{\mu,p}), \end{aligned}$$

which by the duplication formula is

$$= v^{\frac{s}{2}} \varphi_\mu(0) - \sqrt{\pi} i v^{-\frac{s}{2}} \frac{\Gamma\left(\frac{s+1}{2}\right)}{\Gamma\left(\frac{s}{2} + 1\right)} \prod_p W_{0,p}(s, \varphi_{\mu,p}).$$

Theorem 5.2 of [17] implies  $W_{0,p}(s, \varphi_{\mu,p}) = 0$  if  $\varphi_{\mu,p}$  is not the characteristic function of the local lattice. So  $b_\mu(0, t) = 0$  for  $\mu \neq 0$ . Now let  $\mu = 0$ . Propositions 2.1 and 6.3 of [17] imply

$$E_0(\tau, s; \varphi_0, +1) = v^{\frac{s}{2}} - \sqrt{\pi} v^{-\frac{s}{2}} \frac{\Gamma\left(\frac{s+1}{2}\right) L(s, \chi_d)}{\Gamma\left(\frac{s}{2} + 1\right) L(s+1, \chi_d)} \mathcal{C}_0,$$

where

$$\mathcal{C}_0 = 2^{\beta_2} \prod_{\substack{q|d \\ q=\text{odd prime}}} q^{-\frac{1}{2}}$$

and

$$\beta_2 = \begin{cases} 0 & \text{if 2 is unramified,} \\ -1 & \text{if } 4 \mid d \text{ and } 8 \nmid d, \\ -\frac{3}{2} & \text{if } 8 \mid d. \end{cases}$$

Then  $\mathcal{C}_0 = d^{-\frac{1}{2}}$ . We have

$$\begin{aligned} E_0(\tau, s; \varphi_0, +1) &= v^{\frac{s}{2}} - v^{-\frac{s}{2}} \frac{\pi^{-\frac{s+1}{2}} \Gamma\left(\frac{s+1}{2}\right) L(s, \chi_d)}{\pi^{-\frac{s}{2}-1} \Gamma\left(\frac{s}{2} + 1\right) L(s+1, \chi_d)} d^{-\frac{1}{2}} \\ &= v^{\frac{s}{2}} - v^{-\frac{s}{2}} \frac{\Lambda(s, \chi_d)}{\Lambda(s+1, \chi_d)} d^{-\frac{1}{2}}. \end{aligned}$$

The functional equation for  $\Lambda(s, \chi_d)$  (cf. [7]) is

$$\Lambda(s, \chi_d) = d^{\frac{1}{2}-s} \Lambda(1-s, \chi_d).$$

We normalize  $E_0(\tau, s; \varphi_0, +1)$  by  $d^{\frac{s+1}{2}} \Lambda(s+1, \chi_d)$  giving

$$\begin{aligned} E_0^*(\tau, s; \varphi_0, +1) &= d^{\frac{s+1}{2}} v^{\frac{s}{2}} \Lambda(1+s, \chi_d) - d^{\frac{s+1}{2}} v^{-\frac{s}{2}} d^{-s} \Lambda(1-s, \chi_d) \\ &= d^{\frac{s+1}{2}} v^{\frac{s}{2}} \Lambda(1+s, \chi_d) - d^{\frac{1-s}{2}} v^{-\frac{s}{2}} \Lambda(1-s, \chi_d). \end{aligned}$$

Hence,

$$\begin{aligned} E_0^{*,\prime}(\tau, 0; \varphi_0, +1) &= 2 \frac{\partial}{\partial s} \left\{ d^{\frac{s+1}{2}} v^{\frac{s}{2}} \Lambda(1+s, \chi_d) \right\} \Big|_{s=0} \\ &= d^{\frac{1}{2}} \Lambda(1, \chi_d) \left\{ \log(d) + \log(v) + 2 \frac{\Lambda'(1, \chi_d)}{\Lambda(1, \chi_d)} \right\} \\ &= h_k \left\{ \log(d) + \log(v) + 2 \frac{\Lambda'(1, \chi_d)}{\Lambda(1, \chi_d)} \right\}, \end{aligned}$$

by the residue formula. Then since  $E^{*,\prime}(\tau, 0; \varphi_0, +1) = h_k E'(\tau, 0; \varphi_0, +1)$ , we have

$$b_0(0, t) - \log(t) = \log(d) + 2 \frac{\Lambda'(1, \chi_d)}{\Lambda(1, \chi_d)}.$$

□

Now the  $m = 0$  part is

$$2 \sum_{\mu} c_{\mu}(0) b_{\mu}(0, t) - 2c_0(0) \log(t) = 2 \sum_{\mu \neq 0} c_{\mu}(0) b_{\mu}(0, t) + 2c_0(0)(b_0(0, t) - \log(t)),$$

and Lemma 2.21 tells us that this expression is  $2c_0(0)k_0(0)$ . This finishes the proof of Theorem 2.18. □

### 3. MAIN THEOREM IN THE CASE OF SIGNATURE $(n, 2)$

**3.1. The Rational Splitting**  $V = V_+ \oplus U$ . Now we consider the general case. Assume that we have a decomposition  $V = V_+ \oplus U$  where  $V_+$  has signature  $(n, 0)$  and  $U$  has signature  $(0, 2)$ . For  $x \in V$ , write  $x = x_1 + x_2$ ,  $x_1 \in V_+$ ,  $x_2 \in U$ . Let  $z_0 \in D_0$ . Then  $R(x, z_0) = -(x_2, x_2)$  so we see

$$\varphi_\infty(x, z_0) = e^{-\pi(x, x)z_0} = e^{-\pi[(x_1, x_1) - (x_2, x_2)]} = e^{-\pi(x_1, x_1)} e^{\pi(x_2, x_2)},$$

which is equal to  $\varphi_{\infty,+}(x_1)\varphi_{\infty,-}(x_2)$  for the Gaussians on  $V_+$  and  $U$ , respectively. We also have  $\omega(g'_\tau)\varphi_\infty = \omega_+(g'_\tau)\varphi_{\infty,+} \otimes \omega_-(g'_\tau)\varphi_{\infty,-}$  for the corresponding Weil representations. For this decomposition of  $V$ , we can write the theta function on  $S(V(\mathbb{A}_f))$  as a tensor product of two distributions, one on  $S(V_+(\mathbb{A}_f))$  and one on  $S(U(\mathbb{A}_f))$ . To see this, let  $\varphi \in S(V(\mathbb{A}_f))$ . The theta functions are linear, so it suffices to look at a factorizable Schwartz function  $\varphi = \varphi_+ \otimes \varphi_-$ . This gives

$$\begin{aligned} \theta(\tau, z_0, h; \varphi) &= v^{-\frac{1}{2}} \sum_{x \in V(\mathbb{Q})} \omega(g'_\tau)(\varphi_\infty(\cdot, z_0) \otimes \omega(h)\varphi)(x) \\ &= v^{-\frac{1}{2}} \sum_{x_1, x_2} (\omega_+(g'_\tau)\varphi_{\infty,+}(x_1)\varphi_+(h_+^{-1}x_1))(\omega_-(g'_\tau)\varphi_{\infty,-}(x_2)\varphi_-(h_-^{-1}x_2)) \\ &= v^{-\frac{n}{4}} \left( \sum_{x_1} \omega_+(g'_\tau)\varphi_{\infty,+}(x_1)\varphi_+(h_+^{-1}x_1) \right) \\ &\quad \times v^{\frac{1}{2}} \left( \sum_{x_2} \omega_-(g'_\tau)\varphi_{\infty,-}(x_2)\varphi_-(h_-^{-1}x_2) \right) \\ &= \theta_+(\tau, z_0, h_+; \varphi_+) \theta_-(\tau, z_0, h_-; \varphi_-). \end{aligned}$$

Hence,

$$\theta(\tau, z_0, h) = \theta_+(\tau, z_0, h_+) \otimes \theta_-(\tau, z_0, h_-),$$

where their respective weights are  $\frac{n}{2}$  and  $-1$ . Since  $z_0$  is fixed, we write

$$\theta_\pm(\tau, h_\pm) = \theta_\pm(\tau, z_0, h_\pm).$$

**3.2. The Contraction Map.** Now we describe the main way in which we use the above factorization of the theta function. Let  $\varphi \in S(V(\mathbb{A}_f))$ . Then we can write  $\varphi = \sum_j \varphi_+^j \otimes \varphi_-^j$ , where  $\varphi_+^j \in S(V_+(\mathbb{A}_f))$ ,  $\varphi_-^j \in S(U(\mathbb{A}_f))$  and the sum is finite. We define the *contraction map*

$$\langle \cdot, \theta_+(\tau, h_+) \rangle : S(V(\mathbb{A}_f)) \rightarrow S(U(\mathbb{A}_f))$$

by

$$\langle \varphi, \theta_+(\tau, h_+) \rangle := \sum_j \theta_+(\tau, h_+; \varphi_+^j) \varphi_-^j.$$

It is clear that

$$(34) \quad ((\varphi, \theta(\tau, z_0, h))) = ((\langle \varphi, \theta_+(\tau, h_+) \rangle, \theta_-(\tau, h_-))).$$

The expression on the right hand side is nice because it is the pairing of a function in  $S(U(\mathbb{A}_f))$  and the theta function for  $U$ . This is just as in the  $n = 0$  case. The value of the contraction map that we are interested in is  $\langle F(\tau), \theta_+(\tau, 1) \rangle$ .

**Proposition 3.1.** *If  $F : \mathfrak{H} \rightarrow S_L$  is a weakly holomorphic modular form of weight  $1 - \frac{n}{2}$  and type  $\omega$  for  $\Gamma'$  whose non-positive Fourier coefficients lie in  $\mathbb{Z}$ , then*

- (i)  *$\langle F(\tau), \theta_+(\tau, 1) \rangle$  is a weakly holomorphic modular form of weight 1 and type  $\omega_-$  for  $\Gamma'$  (cf. Definition 2.1),*
- (ii)  *$\langle F(\tau), \theta_+(\tau, 1) \rangle \in S_{L_-}$  for  $L_- = U \cap L$ ,*
- (iii) *The non-positive Fourier coefficients of  $\langle F(\tau), \theta_+(\tau, 1) \rangle$  lie in  $\mathbb{Z}$ .*

*Proof.* By definition,

$$(35) \quad \langle F(\gamma'\tau), \theta_+(\gamma'\tau, h_+) \rangle = (c\tau + d) \langle \omega(\gamma')(F(\tau)), \omega_+^\vee(\gamma')(\theta_+(\tau, h_+)) \rangle_U.$$

Assume that  $F(\tau) = \sum_j \varphi_+^j \otimes \varphi_-^j$ . We have

$$\omega_+^\vee(\gamma')(\theta_+(\tau, h_+)) = \theta_+(\tau, h_+; \omega_+(\gamma')^{-1} \circ \cdot),$$

so (35) is

$$\begin{aligned} &= (c\tau + d) \left\langle \sum_j \omega_+(\gamma')(\varphi_+^j) \otimes \omega_-(\gamma')(\varphi_-^j), \theta_+(\tau, h_+; \omega_+(\gamma')^{-1} \circ \cdot) \right\rangle_U \\ &= (c\tau + d) \sum_j \theta_+(\tau, h_+; \omega_+(\gamma')^{-1} \omega_+(\gamma')(\varphi_+^j)) \omega_-(\gamma')(\varphi_-^j) \\ &= (c\tau + d) \sum_j \theta_+(\tau, h_+; \varphi_+^j) \omega_-(\gamma')(\varphi_-^j) \\ &= (c\tau + d) \omega_-(\gamma')(\langle F(\tau), \theta_+(\tau, h_+) \rangle). \end{aligned}$$

This proves (i).

In order to compute the Fourier expansion of  $\langle F(\tau), \theta_+(\tau, h_+) \rangle$ , we need the expansion of  $\theta_+(\tau, h_+; \varphi_+)$  for  $\varphi_+ \in S(V_+(\mathbb{A}_f))$ . We take  $h_+ = 1$  since the integral we are interested in is

$$\int_{SO(U)(\mathbb{Q}) \backslash SO(U)(\mathbb{A}_f)} \Phi(z_0, h; F) dh.$$

The explicit  $\mathbf{q}$ -expansion of  $\theta_+(\tau, 1; \varphi_+)$  is obtained via the action of the Weil representation on  $S(V_+(\mathbb{R}))$ . In our particular case,

$$\begin{aligned} \theta_+(\tau, 1; \varphi_+) &= v^{-\frac{n}{4}} \sum_{x_1 \in V_+(\mathbb{Q})} \omega_+(g'_\tau) \varphi_{\infty,+}(x_1) \varphi_+(x_1) \\ &= v^{-\frac{n}{4}} \sum_{x_1} \omega_+(g'_\tau) e^{-\pi(x_1, x_1)} \varphi_+(x_1), \end{aligned}$$

which by [16] is

$$\begin{aligned} &= v^{-\frac{n}{4}} \sum_{x_1} v^{\frac{n}{4}} e^{2\pi i u Q(x_1)} e^{-\pi v(x_1, x_1)} \varphi_+(x_1) \\ &= \sum_{x_1} e^{2\pi i \tau Q(x_1)} \varphi_+(x_1) \\ (36) \quad &= \sum_{m \in \mathbb{Q}} \left( \sum_{\substack{x_1 \\ Q(x_1)=m}} \varphi_+(x_1) \right) \mathbf{q}^m. \end{aligned}$$

Define

$$d_{\varphi_+}(m) := \sum_{\substack{x_1 \\ Q(x_1)=m}} \varphi_+(x_1).$$

Let  $L_+ \subset V_+$  be a lattice. Note that if  $\varphi_+$  is the characteristic function of a coset  $\lambda_+ + L_+$ , then  $d_{\varphi_+}(m)$  is an integer which counts the number of vectors  $x_1 \in \lambda_+ + L_+$  such that  $Q(x_1) = m$ . Also,  $V_+(\mathbb{Q})$  is positive definite so  $m \geq 0$  in (36).

Now we compute the Fourier expansion of  $\langle F(\tau), \theta_+(\tau, 1) \rangle$ . We know  $F(\tau) \in S_L$  for some lattice  $L \subset V$ . If we let  $L_+ = V_+ \cap L$  and  $L_- = U \cap L$ , then generally the lattice  $L$  does not split, i.e.,  $L \not\supseteq L_+ + L_-$ . We have

$$L_+ + L_- \subset L \subset L^\vee \subset L_+^\vee + L_-^\vee.$$

Let

$$L^\vee = \bigcup_{\eta} (\eta + L), \quad L = \bigcup_{\lambda} (\lambda + L_+ + L_-),$$

where  $\eta$  and  $\lambda$  range over  $L^\vee/L$  and  $L/(L_+ + L_-)$ , respectively. If we write  $\eta = \eta_+ + \eta_-$  and  $\lambda = \lambda_+ + \lambda_-$ , then

$$L^\vee = \bigcup_{\eta} \bigcup_{\lambda} (\eta_+ + \lambda_+ + L_+) + (\eta_- + \lambda_- + L_-).$$

Let  $F(\tau) = \sum_{\eta} F_{\eta}(\tau) \varphi_{\eta+L}$  for  $\varphi_{\eta+L} = \text{char}(\eta + L)$ . Then

$$\varphi_{\eta+L} = \sum_{\lambda} \varphi_{\eta_++\lambda_++L_+} \otimes \varphi_{\eta_-+\lambda_-+L_-},$$

and we have

$$F(\tau) = \sum_{\eta} F_{\eta}(\tau) \sum_{\lambda} (\varphi_{\eta_++\lambda_++L_+} \otimes \varphi_{\eta_-+\lambda_-+L_-}).$$

By definition of the contraction map, this gives

$$(37) \quad \langle F(\tau), \theta_+(\tau, 1) \rangle = \sum_{\eta} \sum_{\lambda} F_{\eta}(\tau) \theta_+(\tau, 1; \varphi_{\eta_++\lambda_++L_+}) \varphi_{\eta_-+\lambda_-+L_-}.$$

From (37), we see that

$$\langle F(\tau), \theta_+(\tau, 1) \rangle \in S_{L_-},$$

but we point out that the cosets  $\eta_- + \lambda_- + L_-$  need not be incongruent mod  $L_-$ . Let  $c_{\eta}(m) = c_{\varphi_{\eta+L}}(m)$  and  $d_{\eta_++\lambda_+}(m) = d_{\varphi_{\eta_++\lambda_++L_+}}(m)$ . Then the Fourier expansion of  $\langle F(\tau), \theta_+(\tau, 1) \rangle$  is

$$\begin{aligned} \langle F(\tau), \theta_+(\tau, 1) \rangle &= \sum_{\eta} \sum_{\lambda} \left( \sum_m c_{\eta}(m) \mathbf{q}^m \right) \left( \sum_m d_{\eta_++\lambda_+}(m) \mathbf{q}^m \right) \varphi_{\eta_-+\lambda_-+L_-} \\ &= \sum_{\eta} \sum_{\lambda} \sum_m \left( \sum_{m_1+m_2=m} c_{\eta}(m_1) d_{\eta_++\lambda_+}(m_2) \right) \mathbf{q}^m \varphi_{\eta_-+\lambda_-+L_-} \\ &= \sum_{\eta} \sum_{\lambda} \sum_m C_{\eta, \lambda_+}(m) \mathbf{q}^m \varphi_{\eta_-+\lambda_-+L_-}, \end{aligned}$$

where we define

$$C_{\eta, \lambda_+}(m) := \sum_{m_1+m_2=m} c_{\eta}(m_1) d_{\eta_++\lambda_+}(m_2).$$

The coefficients  $d_{\eta_++\lambda_+}(m) \in \mathbb{Z}_{\geq 0}$  for  $m \geq 0$  and  $d_{\eta_++\lambda_+}(m) = 0$  if  $m < 0$ . So assuming  $c_{\eta}(m) \in \mathbb{Z}$  for  $m \leq 0$  implies  $C_{\eta, \lambda_+}(m) \in \mathbb{Z}$  for  $m \leq 0$ , and this finishes the proof of Proposition 3.1.  $\square$



We have seen that the zeroth Fourier coefficient of the modular form  $F$  is very important. For example, it gives the weight of  $\Psi(F)^2$ . When doing the general case, we use the contraction map to go from a modular form  $F \in S(V(\mathbb{A}_f))$  to  $\langle F, \theta_+ \rangle \in S(U(\mathbb{A}_f))$ . Hence, we will want to know the zeroth coefficient of  $\langle F, \theta_+ \rangle$ . For any modular form  $\tilde{F} \in S(U(\mathbb{A}_f))$ , define

$$c_0(0)(\tilde{F})$$

to be the zeroth Fourier coefficient of  $\tilde{F}$ .

**Corollary 3.2.** *The Fourier expansion of  $\langle F(\tau), \theta_+(\tau, 1) \rangle$  is*

$$\langle F(\tau), \theta_+(\tau, 1) \rangle = \sum_{\eta} \sum_{\lambda} \sum_m C_{\eta, \lambda_+}(m) \mathbf{q}^m \varphi_{\eta_- + \lambda_- + L_-},$$

where

$$C_{\eta, \lambda_+}(m) = \sum_{m_1 + m_2 = m} c_{\eta}(m_1) d_{\eta_+ + \lambda_+}(m_2),$$

and

$$(38) \quad c_0(0)(\langle F, \theta_+ \rangle) := c_0(0)(\langle F(\tau), \theta_+(\tau, 1) \rangle) = \sum_{\eta} \sum_{\substack{\lambda \\ \eta_- + \lambda_- = 0}} C_{\eta, \lambda_+}(0).$$

**3.3. The  $(n, 2)$ -Theorem.** Recall that the lattice  $L$  may not split. For  $\eta \in L^\vee/L$  and  $\lambda \in L/(L_+ + L_-)$  we write  $\eta = \eta_+ + \eta_-$  and  $\lambda = \lambda_+ + \lambda_-$ .

**Definition 3.3.** *Define*

$$\kappa_{\eta}(m) := \sum_{\lambda} \sum_{x \in \eta_+ + \lambda_+ + L_+} \kappa_{\eta_- + \lambda_-}(m - Q(x)).$$

Note that Definition 2.17 implies the sum over  $x \in \eta_+ + \lambda_+ + L_+$  is finite.

**Theorem 3.4** (The  $(n, 2)$ -Theorem). *Let  $F : \mathfrak{H} \rightarrow S_L \subset S(V(\mathbb{A}_f))$  be a weakly holomorphic modular form for  $\Gamma'$  of weight  $1 - \frac{n}{2}$ , with Fourier expansion*

$$(39) \quad F(\tau) = \sum_{\eta} F_{\eta}(\tau) \varphi_{\eta} = \sum_{\eta} \sum_m c_{\eta}(m) \mathbf{q}^m \varphi_{\eta},$$

where  $\varphi_{\eta} = \text{char}(\eta + L)$  and  $\eta$  runs over  $L^\vee/L$ . Also, assume  $c_{\eta}(m) \in \mathbb{Z}$  for  $m \leq 0$ . Define

$$\Phi(z, h; F) := \int_{\Gamma \backslash \mathfrak{H}}^{\bullet} ((F(\tau), \theta(\tau, z, h))) d\mu(\tau).$$

For  $z_0 \in D_0$  we have

(i)  $\Phi(z_0, h; F)$  is always finite,

$$(ii) \quad \int_{SO(U)(\mathbb{Q}) \backslash SO(U)(\mathbb{A}_f)} \Phi(z_0, h; F) dh = 2 \sum_{\eta} \sum_{m \geq 0} c_{\eta}(-m) \kappa_{\eta}(m).$$

*Proof.* The regularized integral is given by

$$\Phi(z_0, h; F) = \int_{\Gamma \backslash \mathfrak{H}}^{\bullet} \phi(\tau) d\mu(\tau),$$

where the integrand is

$$\begin{aligned} \phi(\tau) &= ((F(\tau), \theta(\tau, z_0, h))) \\ &= ((\langle F(\tau), \theta_+(\tau, 1) \rangle, \theta_-(\tau, h_-))), \end{aligned}$$

as in (34). Hence,

$$(40) \quad \Phi(z_0, h; F) = \Phi(z_0, h_-; \langle F(\tau), \theta_+(\tau, 1) \rangle),$$

and Proposition 2.6 implies (40) is always finite. We remark that the regularization process does not depend on the integrand  $\phi(\tau)$ .

For (ii), using (40) the desired integral can be written

$$(41) \quad \begin{aligned} & \int_{SO(U)(\mathbb{Q}) \backslash SO(U)(\mathbb{A}_f)} \Phi(z_0, h; F) dh \\ &= \int_{SO(U)(\mathbb{Q}) \backslash SO(U)(\mathbb{A}_f)} \Phi(z_0, h_-; \langle F(\tau), \theta_+(\tau, 1) \rangle) dh_-. \end{aligned}$$

Proposition 3.1 tells us we may apply the  $(0, 2)$ -Theorem to (41). Doing this we see

$$(41) = 2 \sum_{\eta} \sum_{\lambda} \sum_{m \geq 0} C_{\eta, \lambda_+}(-m) \kappa_{\eta_- + \lambda_-}(m) \\ = 2 \sum_{\eta} \sum_{\lambda} \sum_{m \geq 0} \left( \sum_{m_1 + m_2 = -m} c_{\eta}(m_1) d_{\eta_+ + \lambda_+}(m_2) \right) \kappa_{\eta_- + \lambda_-}(m) \\ = 2 \sum_{\eta} \sum_{\lambda} \sum_{m \geq 0} \left( \sum_{m_1 \leq 0} c_{\eta}(m_1) d_{\eta_+ + \lambda_+}(-m - m_1) \right) \kappa_{\eta_- + \lambda_-}(m) \\ (42) \quad = 2 \sum_{\eta} \sum_{\lambda} \sum_{m \geq 0} \left( \sum_{m_1 \geq 0} c_{\eta}(-m_1) d_{\eta_+ + \lambda_+}(m_1 - m) \right) \kappa_{\eta_- + \lambda_-}(m).$$

If  $m > m_1$ , then  $d_{\eta_+ + \lambda_+}(m_1 - m) = 0$ , so

$$(42) = 2 \sum_{\eta} \sum_{\lambda} \sum_{m_1 \geq 0} c_{\eta}(-m_1) \left( \sum_{0 \leq m \leq m_1} d_{\eta_+ + \lambda_+}(m_1 - m) \kappa_{\eta_- + \lambda_-}(m) \right).$$

Then

$$\begin{aligned} & \sum_{0 \leq m \leq m_1} d_{\eta_+ + \lambda_+}(m_1 - m) \kappa_{\eta_- + \lambda_-}(m) \\ &= \sum_{0 \leq m \leq m_1} (\#\{x \in \eta_+ + \lambda_+ + L_+ \mid Q(x) = m_1 - m\}) \kappa_{\eta_- + \lambda_-}(m) \\ &= \sum_{\substack{x \in \eta_+ + \lambda_+ + L_+ \\ 0 \leq Q(x) \leq m_1}} \kappa_{\eta_- + \lambda_-}(m_1 - Q(x)) \\ &= \sum_{x \in \eta_+ + \lambda_+ + L_+} \kappa_{\eta_- + \lambda_-}(m_1 - Q(x)), \end{aligned}$$

since  $Q(x) \geq 0$  and  $\kappa_{\eta_- + \lambda_-}(m) = 0$  for  $m < 0$ . So

$$(42) = 2 \sum_{\eta} \sum_{m \geq 0} c_{\eta}(-m) \kappa_{\eta}(m).$$

□

We now state an important corollary of Theorem 3.4, which gives the average value of the logarithm of a Borchers form over CM points. As in section 2.3, let  $T = \mathrm{GSpin}(U)$  and let  $K \subset H(\mathbb{A}_f)$  be a compact open subgroup such that

$F : \mathfrak{H} \rightarrow S_L^K$ . Write  $K_T = K \cap T(\mathbb{A}_f)$  and recall that we consider the set of CM points

$$Z(U)_K = T(\mathbb{Q}) \backslash (D_0 \times T(\mathbb{A}_f)/K_T) \hookrightarrow X_K.$$

**Corollary 3.5.** (i) When  $z_0$  is not in the divisor of the Borcherds form  $\Psi(F)$  (i.e., when (11) holds), the result of Theorem 3.4 can be stated as

$$\sum_{z \in Z(U)_K} \log \|\Psi(z; F)\|^2 = \frac{-2}{\text{vol}(K_T)} \left( \sum_{\eta} \sum_{m \geq 0} c_{\eta}(-m) \kappa_{\eta}(m) \right).$$

(ii) If  $U \simeq k = \mathbb{Q}(\sqrt{-d})$  where  $-d$  is an odd fundamental discriminant, then we have the factorization

$$\prod_{z \in Z(U)_K} \|\Psi(z; F)\|^2 = \mathbf{rat} \cdot \left( (4d\pi)^{-1} e^{2 \frac{L'(0, \chi_d)}{L(0, \chi_d)}} \right)^{h_k c_0(0)(\langle F, \theta_+ \rangle)},$$

where  $\mathbf{rat} \in \mathbb{Q}$  and  $c_0(0)(\langle F, \theta_+ \rangle)$  is the zeroth Fourier coefficient of  $\langle F, \theta_+ \rangle$ , as defined in (38). Note that the degree of  $Z(U)_K$  is  $2h_k$ , where  $h_k$  is the class number of  $k$ . This factorization can also be written as

$$\prod_{z \in Z(U)_K} \|\Psi(z; F)\|^2 = \mathbf{rat} \cdot \left[ (4d\pi)^{-h_k} \prod_{a=1}^{d-1} \Gamma\left(\frac{a}{d}\right)^{w_k \chi_d(a)} \right]^{c_0(0)(\langle F, \theta_+ \rangle)},$$

where  $w_k$  is the number of roots of unity in  $k$ . The transcendental factor appearing in this factorization is related to Shimura's period invariants [15], [8], [18].

(iii)

$$\log(\mathbf{rat}) = -h_k \sum_{\eta} \sum_{m > 0} c_{\eta}(-m) \left( \sum_{\lambda} \sum_{\substack{x \in \eta_+ + \lambda_+ + L_+ \\ Q(x) < m}} \kappa_{\eta_- + \lambda_-}(m - Q(x)) \right).$$

*Proof.* (i) follows from (11). For (ii) and (iii) we have  $\text{vol}(K_T) = \frac{2}{h_k}$ , and we will see from Theorem 4.1 of the next section that

$$(43) \quad -h_k \sum_{\eta} \sum_{m > 0} c_{\eta}(-m) \left( \sum_{\lambda} \sum_{\substack{x \in \eta_+ + \lambda_+ + L_+ \\ Q(x) < m}} \kappa_{\eta_- + \lambda_-}(m - Q(x)) \right)$$

is the logarithm of a rational number  $\mathbf{rat}$ . From  $\Lambda(s, \chi_d) = \pi^{-\frac{s+1}{2}} \Gamma\left(\frac{s+1}{2}\right) L(s, \chi_d)$ , we see

$$\frac{\Lambda'(1, \chi_d)}{\Lambda(1, \chi_d)} = -\frac{1}{2} \log(\pi) + \Gamma'(1) + \frac{L'(1, \chi_d)}{L(1, \chi_d)}.$$

So for the corresponding part of (43) that involves  $\kappa_0(0)$ , we have

$$-h_k c_0(0)(\langle F, \theta_+ \rangle) \left( \log(d) - \log(\pi) + 2\Gamma'(1) + 2 \frac{L'(1, \chi_d)}{L(1, \chi_d)} \right),$$

which equals

$$h_k c_0(0)(\langle F, \theta_+ \rangle) \left( 2 \frac{L'(0, \chi_d)}{L(0, \chi_d)} - \log(4d\pi) \right).$$

The second identity in (ii) follows from the Chowla-Selberg formula (cf. Proposition 10.10 of [14]), which says

$$\frac{L'(0, \chi_d)}{L(0, \chi_d)} = \frac{w_k}{2h_k} \sum_{a=1}^{d-1} \chi_d(a) \log \Gamma\left(\frac{a}{d}\right).$$

□

As an immediate consequence of Corollary 3.5 and Theorem 4.1 of the next section, we obtain a Gross-Zagier phenomenon about which primes can occur in the factorization of the rational part of

$$\prod_{z \in Z(U)_K} \|\Psi(z; F)\|^2.$$

For  $F$  as in (39), define

$$m_{\max} = \max\{m > 0 \mid c_\eta(-m) \neq 0 \text{ for some } \eta\}.$$

**Theorem 3.6.** *Let  $-d$  be an odd fundamental discriminant and assume  $U \simeq k = \mathbb{Q}(\sqrt{-d})$ . Then the only primes which occur in the factorization of the rational part of*

$$\prod_{z \in Z(U)_K} \|\Psi(z; F)\|^2$$

are

(i)  $q$  such that  $q \mid d$ ,

(ii)  $p$  inert in  $k$  with  $p \leq dm_{\max}$ .

Note that this fact holds for all Borchers forms and all CM points. In addition, we point out that the modular form  $F$  is not needed in order to obtain  $m_{\max}$ . It can be recovered from the divisor of  $\Psi(F)^2$  (cf. Theorem 1.3 of [12]).

#### 4. EXPLICIT COMPUTATION OF $\kappa_\mu(t)$ FOR $t \in \mathbb{Q}_{>0}$

In order to compute examples of our main theorem, we need to derive explicit formulas for  $\kappa_\mu(t)$  for  $t \in \mathbb{Q}_{>0}$ . Our previous discussion of the Clifford algebra of  $U$  and Lemma 2.12 imply that, without loss of generality, we may assume  $U = k$  is an imaginary quadratic field with quadratic form  $Q$  given by a negative multiple of the norm-form. In this section we assume that  $L = \mathfrak{a} \subset \mathcal{O}_k$  is an integral ideal and that  $Q(x) = -\frac{Nx}{N\mathfrak{a}}$ , so that  $L^\vee = \mathcal{D}^{-1}\mathfrak{a}$ , where  $\mathcal{D}$  is the different of  $k$ . This is certainly not the most general possible lattice. Write  $\kappa_\mu(t)$  as  $\kappa(t, \mu, \mathfrak{a})$  for  $\mu \in \mathcal{D}^{-1}\mathfrak{a}/\mathfrak{a}$ . For simplicity, we assume that  $k = \mathbb{Q}(\sqrt{-d})$ , where  $d > 3, d \equiv 3 \pmod{4}$  and is square-free, so that the prime 2 is not ramified. Let  $\chi$  be the character of  $\mathbb{Q}_\mathbb{A}^\times$  associated to  $k$ , which is defined via the global quadratic Hilbert symbol so that  $\chi(t) = (t, -d)_\mathbb{A}$ . Then for a prime  $p \leq \infty$ , the local character is  $\chi_p(t) = (t, -d)_p$  where  $(\ , \ )_p$  is the local quadratic Hilbert symbol.

Throughout this section we let  $p$  denote an unramified prime and  $q$  denote a ramified prime. Let  $\mu$  be a coset in  $\mathcal{D}^{-1}\mathfrak{a}/\mathfrak{a}$ . Write  $\mu_q$  for the image of  $\mu$  under the map

$$\mathcal{D}^{-1}\mathfrak{a}/\mathfrak{a} \rightarrow \mathcal{D}^{-1}\mathfrak{a}_q/\mathfrak{a}_q,$$

where  $\mathfrak{a}_q = \mathfrak{a} \otimes_{\mathbb{Z}} \mathbb{Z}_q$ . For  $t \in \mathbb{Q}_{>0}$ , we introduce the function

$$\rho(t) = \#\{\mathfrak{a} \subseteq \mathcal{O}_k \mid N\mathfrak{a} = t\}.$$

This function factors as

$$(44) \quad \rho(t) = \prod_p \rho_p(t),$$

where  $\rho_p(t) = \rho(p^{\text{ord}_p(t)})$ . The explicit formula for  $\kappa(t, \mu, \mathfrak{a})$  is given by the following theorem.

**Theorem 4.1.** *For  $\mu \in \mathcal{D}^{-1}\mathfrak{a}/\mathfrak{a}$  and  $t \in \mathbb{Q}_{>0}$ ,*

$$\kappa(t, \mu, \mathfrak{a}) = -\frac{1}{h_k} \prod_{q|d} \text{char}(Q(\mu_q) + \mathbb{Z}_q)(t) \times \left[ \rho(dt) \sum_{\substack{q|d \\ \mu_q=0}} \eta_q(t, \mu) (\text{ord}_q(t) + 1) \log(q) + \eta_0(t, \mu) \sum_{p \text{ inert}} (\text{ord}_p(t) + 1) \rho\left(\frac{dt}{p}\right) \log(p) \right],$$

where

$$\eta_q(t, \mu) = (1 - \chi_q(-t)) \prod_{\substack{q'|d \\ q' \neq q \\ \mu_{q'}=0}} (1 + \chi_{q'}(-t))$$

and

$$\eta_0(t, \mu) = \prod_{\substack{q|d \\ \mu_q=0}} (1 + \chi_q(-t)).$$

We take  $\eta_0(t, \mu) = 1$  if  $\mu_q \neq 0$  for all  $q \mid d$ . For  $t = 0$ ,

$$\kappa(0, 0, \mathfrak{a}) = \log(d) + 2 \frac{\Lambda'(1, \chi_d)}{\Lambda(1, \chi_d)}.$$

Note that when  $\mu_q \neq 0$  for all  $q \mid d$  we have  $\eta_q(t, \mu) = 0$  for all  $q$  and  $\eta_0(t, \mu) = 1$ , and so we get a much simpler formula in this “generic” case.

*Proof.* The value for  $t = 0$  is defined in Definition 2.17. For  $t > 0$ ,  $\kappa(t, \mu, \mathfrak{a})$  is given by the second term in the Laurent expansion of a certain Eisenstein series. These Eisenstein series have factorizations in terms of local Whittaker functions, and we use these factorizations to derive the above formula for  $\kappa(t, \mu, \mathfrak{a})$ . Let  $\varphi_{\mu_q}$  be the characteristic function of the coset  $\mu_q$ ,  $X = p^{-s}$ , and  $\tau = u + iv \in \mathfrak{H}$ . Using [17] and [13], we have the following formulas for the normalized local Whittaker functions. For  $\mu = 0$ , Lemma 2.3 of [13] tells us we only need to consider  $t \in \mathbb{Z}$ , and for  $t > 0$  we have,

$$(45) \quad W_{t,\infty}^*(\tau, s) = \gamma_\infty v^{\frac{1-s}{2}} e(tu) \frac{2i\pi^{\frac{s}{2}} e^{2\pi tv}}{\Gamma(\frac{s}{2})} \int_{u>2tv} e^{-2\pi u} u^{\frac{s}{2}} (u - 2tv)^{\frac{s}{2}-1} du,$$

$$(46) \quad W_{t,p}^*(s, \varphi_0) = \sum_{r=0}^{\text{ord}_p(t)} (\chi_p(p)X)^r,$$

$$(47) \quad W_{t,q}^*(s, \varphi_0) = \gamma_q q^{-\frac{1}{2}} \begin{cases} 1 + (q, -t)_q X^{\text{ord}_q(t)+1} & \text{if } \text{ord}_q(t) \text{ is even,} \\ 1 + (q, -dt)_q X^{\text{ord}_q(t)+1} & \text{if } \text{ord}_q(t) \text{ is odd.} \end{cases}$$

Here  $\gamma_\infty$  and  $\gamma_q$  are local factors which do not affect our global computations since  $\gamma_\infty \prod_q \gamma_q = 1$ , where the product is over all ramified primes. For an unramified prime  $p$ , the local lattice  $\mathfrak{a}_p = \mathfrak{a} \otimes_{\mathbb{Z}} \mathbb{Z}_p$  is unimodular. Note that here is where we have lost generality by assuming  $L = \mathfrak{a}$  is an integral ideal. Since  $\mathfrak{a}_p$  is unimodular,

we only need to consider the Whittaker functions for nonzero cosets at ramified primes. For  $\mu_q \neq 0$  we have

$$(48) \quad W_{t,q}^*(s, \varphi_{\mu_q}) = \gamma_q q^{-\frac{1}{2}} \text{char}(Q(\mu_q) + \mathbb{Z}_q)(t).$$

Note that in (48),  $W_{t,q}^*(s, \varphi_{\mu_q})$  is either a nonzero constant or is identically zero. Following [13], the normalized Eisenstein series has Fourier coefficients given by

$$(49) \quad E_t^*(\tau, s, \Phi^{1,\mu}) = v^{-\frac{1}{2}} d^{\frac{s+1}{2}} W_{t,\infty}^*(\tau, s) \prod_{q|d} W_{t,q}^*(s, \varphi_\mu) \prod_{p \nmid d} W_{t,p}^*(s, \varphi_0).$$

Write  $t = q^{\alpha_q} u$  where  $\alpha_q = \text{ord}_q(t)$ . We now show that (47) can be combined into one nice formula.

**Lemma 4.2.**  $W_{t,q}^*(s, \varphi_0) = \gamma_q q^{-\frac{1}{2}} (1 + \chi_q(-t) X^{\alpha_q+1})$ .

*Proof.* For  $\alpha_q$  even, we have

$$(q, -t)_q = (-t, q)_q = (-t, -1)_q (-t, -q)_q = (-t, -1)_q (-t, dq)_q \chi_q(-t),$$

and

$$(-t, -1)_q (-t, dq)_q = (-t, -dq^{-1})_q = \left( \frac{-dq^{-1}}{q} \right)^{\alpha_q} = 1.$$

For  $\alpha_q$  odd,

$$\begin{aligned} (q, -dt)_q &= (-1)^{\frac{q-1}{2}} (q, d)_q (q, t)_q \\ &= (-1, q)_q (q, d)_q (-t, -q)_q (-1, q)_q (-t, -1)_q \\ &= (q, d)_q (-t, -1)_q (-t, dq)_q \chi_q(-t), \end{aligned}$$

and

$$\begin{aligned} (q, d)_q (-t, -1)_q (-t, dq)_q &= (q, d)_q (-t, -dq^{-1})_q \\ &= (-1)^{\frac{q-1}{2}} \left( \frac{dq^{-1}}{q} \right)^{\alpha_q} \left( \frac{-dq^{-1}}{q} \right)^{\alpha_q} \\ &= 1. \end{aligned}$$

So (47) can be rewritten as

$$(50) \quad W_{t,q}^*(s, \varphi_0) = \gamma_q q^{-\frac{1}{2}} (1 + \chi_q(-t) X^{\alpha_q+1}).$$

□

Let us first compute  $\kappa(t, \mu, \mathfrak{a})$  for  $\mu = 0$  and  $t \in \mathbb{N}$ . To do this, we need the following special values for the local Whittaker functions, cf. Lemma 2.5 and Propositions 2.6 and 2.7 of [13].

**Lemma 4.3.** *At  $s = 0$  we have*

- (i)  $W_{t,\infty}^*(\tau, 0) = -\gamma_\infty 2v^{\frac{1}{2}} e(t\tau)$ .
- (ii)  $W_{t,p}^*(0, \varphi_0) = \rho_p(t)$ , and if  $\rho_p(t) = 0$  then

$$W_{t,p}^{*,\prime}(0, \varphi_0) = \frac{1}{2} (\text{ord}_p(t) + 1) \rho_p \left( \frac{t}{p} \right) \log(p).$$

- (iii)  $W_{t,q}^*(0, \varphi_0) = \gamma_q q^{-\frac{1}{2}} (1 + \chi_q(-t))$ , and if  $\chi_q(-t) = -1$  then

$$W_{t,q}^{*,\prime}(0, \varphi_0) = \gamma_q q^{-\frac{1}{2}} (\text{ord}_q(t) + 1) \rho_q(t) \log(q).$$

Given (49), we consider different cases based on when one and only one local Whittaker function vanishes at  $s = 0$ . Since  $W_{t,\infty}^*(\tau, 0) \neq 0$  for  $t \in \mathbb{N}$ , there are two cases.

Case 1:  $W_{t,p}^*(0, \varphi_0) = 0$  for  $p$  unramified,  $W_{t,p'}^*(0, \varphi_0) \neq 0 \forall p' \neq p$ .

$W_{t,p}^*(0, \varphi_0) = 0$  implies that  $p$  is inert and  $\text{ord}_p(t)$  is odd. Since  $W_{t,q}^*(0, \varphi_0) \neq 0$  for  $q$  ramified, we have  $\chi_q(-t) = 1$  and  $W_{t,q}^*(0, \varphi_0) = \gamma_q 2q^{-\frac{1}{2}}$ . Computing the derivative of the Fourier coefficient we get

$$\begin{aligned} E_t^{*,'}(\tau, 0, \Phi^{1,0}) &= W_{t,p}^{*,'}(0, \varphi_0) \left[ v^{-\frac{1}{2}} d^{\frac{1}{2}} W_{t,\infty}^*(\tau, 0) \prod_{q|d} W_{t,q}^*(0, \varphi_0) \prod_{\substack{p' \nmid d \\ p' \neq p}} W_{t,p'}^*(0, \varphi_0) \right] \\ &= \log(p) \frac{1}{2} (\text{ord}_p(t) + 1) \rho_p \left( \frac{t}{p} \right) \left[ -v^{-\frac{1}{2}} d^{\frac{1}{2}} \gamma_\infty 2v^{\frac{1}{2}} e(t\tau) 2^{\nu(d)} \prod_{q|d} \gamma_q q^{-\frac{1}{2}} \prod_{\substack{p' \nmid d \\ p' \neq p}} \rho_{p'}(t) \right] \\ &= -\log(p) (\text{ord}_p(t) + 1) \rho_p \left( \frac{t}{p} \right) e(t\tau) 2^{\nu(d)} \prod_{q|d} \rho_q \left( \frac{t}{p} \right) \prod_{\substack{p' \nmid d \\ p' \neq p}} \rho_{p'} \left( \frac{t}{p} \right), \end{aligned}$$

since  $\rho_q \left( \frac{t}{p} \right) = 1$  and  $\rho_{p'}(t) = \rho_{p'} \left( \frac{t}{p} \right)$ , and where  $\nu(d)$  is the number of primes dividing  $d$ . So we see

$$(51) \quad E_t^{*,'}(\tau, 0, \Phi^{1,0}) = -\log(p) (\text{ord}_p(t) + 1) 2^{\nu(d)} \rho \left( \frac{t}{p} \right) e(t\tau).$$

Case 2:  $W_{t,q}^*(0, \varphi_0) = 0$  for  $q$  ramified,  $W_{t,p}^*(0, \varphi_0) \neq 0 \forall p \neq q$ .

$W_{t,q}^*(0, \varphi_0) = 0$  implies  $\chi_q(-t) = -1$  while for any ramified prime  $q' \neq q$  we have  $\chi_{q'}(-t) = 1$  and  $W_{t,q'}^*(0, \varphi_0) = \gamma_{q'} 2(q')^{-\frac{1}{2}}$ . In this case, we see

$$\begin{aligned} E_t^{*,'}(\tau, 0, \Phi^{1,0}) &= W_{t,q}^{*,'}(0, \varphi_0) \left[ v^{-\frac{1}{2}} d^{\frac{1}{2}} W_{t,\infty}^*(\tau, 0) \prod_{\substack{q' \nmid d \\ q' \neq q}} W_{t,q'}^*(0, \varphi_0) \prod_{p \nmid d} W_{t,p}^*(0, \varphi_0) \right] \\ &= \gamma_q q^{-\frac{1}{2}} \log(q) (\text{ord}_q(t) + 1) \rho_q(t) \times \\ &\quad \left[ -v^{-\frac{1}{2}} d^{\frac{1}{2}} \gamma_\infty 2v^{\frac{1}{2}} e(t\tau) 2^{\nu(d)-1} \prod_{\substack{q' \nmid d \\ q' \neq q}} \gamma_{q'} (q')^{-\frac{1}{2}} \prod_{p \nmid d} \rho_p(t) \right] \\ (52) \quad &= -\log(q) (\text{ord}_q(t) + 1) 2^{\nu(d)} \rho(t) e(t\tau). \end{aligned}$$

Recall that the definition of  $\kappa(t, \mu, \mathfrak{a})$  involves the non-normalized Eisenstein series, and at  $s = 0$  we have  $E^{*,'}(\tau, 0, \Phi^{1,\mu}) = h_k E'(\tau, 0, \Phi^{1,\mu})$ . This fact and the above analysis, particularly (51) and (52), give

$$\begin{aligned} \kappa(t, 0, \mathfrak{a}) &= \\ &= -\frac{2^{\nu(d)}}{h_k} \left( \sum_{q|d} \xi_q(t) (\text{ord}_q(t) + 1) \rho(t) \log(q) + \sum_{p \text{ inert}} \xi_0(t) (\text{ord}_p(t) + 1) \rho \left( \frac{t}{p} \right) \log(p) \right), \end{aligned}$$

where

$$\xi_q(t) = \begin{cases} 0 & \text{if } \chi_q(-t) = 1 \text{ or } \chi_q(-t) = -1 = \chi_{q'}(-t), \text{ for some ramified prime } \\ & q' \neq q, \\ 1 & \text{if } \chi_q(-t) = -1 \text{ and } \chi_{q'}(-t) = 1 \text{ for all ramified primes } q' \neq q, \end{cases}$$

and

$$\xi_0(t) = \begin{cases} 0 & \text{if } \chi_q(-t) = -1 \text{ for some ramified prime } q, \\ 1 & \text{otherwise.} \end{cases}$$

Now we compute  $\kappa(t, \mu, \mathfrak{a})$  for  $\mu \neq 0$ . One main thing to keep in mind is that there is at least one ramified prime  $q$  such that  $\mu_q \neq 0$ , but the coset can be zero locally at other ramified primes. Write  $\mu = (\mu_p)$ , where if  $p$  is unramified then  $\mu_p = 0$  and let  $\alpha(\mu) = \#\{q \text{ ramified} \mid \mu_q = 0\}$ . Again, we consider two cases.

Case 1:  $W_{t,p}^*(0, \varphi_0) = 0$  for  $p$  unramified,  $W_{t,p'}^*(0, \varphi_{\mu_{p'}}) \neq 0 \forall p' \neq p$ .

The formula for the derivative of the Fourier coefficient is

$$E_t^{*,'}(\tau, 0, \Phi^{1,\mu}) = W_{t,p}^{*,'}(0, \varphi_0) \left[ v^{-\frac{1}{2}} d^{\frac{1}{2}} W_{t,\infty}^*(\tau, 0) \prod_{q|d} W_{t,q}^*(0, \varphi_{\mu_q}) \prod_{\substack{p' \nmid d \\ p' \neq p}} W_{t,p'}^*(0, \varphi_0) \right].$$

Then after cancelling some terms and using Lemma 4.3 and (48), we get

$$= \log(p) \frac{1}{2} (\text{ord}_p(t) + 1) \rho_p \left( \frac{t}{p} \right) \left[ -2e(t\tau) 2^{\alpha(\mu)} \prod_{\substack{q|d \\ \mu_q \neq 0}} \text{char}(Q(\mu_q) + \mathbb{Z}_q)(t) \prod_{\substack{p' \nmid d \\ p' \neq p}} \rho_{p'}(t) \right].$$

If  $q$  is a ramified prime with  $\mu_q \neq 0$ , then  $W_{t,q}^*(0, \varphi_{\mu_q}) \neq 0$  implies  $\text{ord}_q(t) = -1$ . This means  $\rho_q(qt) = 1$  and this also equals  $\rho_q(dt)$ . If  $\mu_q = 0$ , then  $\rho_q(t) = 1 = \rho_q(dt)$ . Similarly,  $\rho_p \left( \frac{t}{p} \right) = \rho_p \left( \frac{dt}{p} \right)$  and  $\rho_{p'}(t) = \rho_{p'}(dt) = \rho_{p'} \left( \frac{dt}{p} \right)$ . We also see that if  $\mu_q = 0$ , then  $\text{char}(Q(\mu_q) + \mathbb{Z}_q)(t) = \text{char}(\mathbb{Z}_q)(t) = 1$ . So the above formula is

$$(53) \quad = -2^{\alpha(\mu)} \log(p) (\text{ord}_p(t) + 1) \rho \left( \frac{dt}{p} \right) e(t\tau) \prod_{q|d} \text{char}(Q(\mu_q) + \mathbb{Z}_q)(t).$$

Case 2:  $W_{t,q}^*(0, \varphi_0) = 0$  for  $q$  ramified,  $W_{t,p}^*(0, \varphi_{\mu_p}) \neq 0 \forall p \neq q$ .

Here the derivative is given by

$$\begin{aligned} E_t^{*,'}(\tau, 0, \Phi^{1,\mu}) &= W_{t,q}^{*,'}(0, \varphi_0) \left[ v^{-\frac{1}{2}} d^{\frac{1}{2}} W_{t,\infty}^*(\tau, 0) \prod_{\substack{q' \nmid d \\ q' \neq q}} W_{t,q'}^*(0, \varphi_{\mu_{q'}}) \prod_{p \nmid d} W_{t,p}^*(0, \varphi_0) \right] \\ &= \log(q) (\text{ord}_q(t) + 1) \rho_q(t) \left[ -2e(t\tau) 2^{\alpha(\mu)-1} \prod_{\substack{q|d \\ \mu_q \neq 0}} \text{char}(Q(\mu_q) + \mathbb{Z}_q)(t) \prod_{p \nmid d} \rho_p(t) \right] \\ (54) \quad &= -2^{\alpha(\mu)} \log(q) (\text{ord}_q(t) + 1) \rho(dt) e(t\tau) \prod_{q|d} \text{char}(Q(\mu_q) + \mathbb{Z}_q)(t). \end{aligned}$$



Note that we do not consider the case where  $W_{t,q}^*(0, \varphi_{\mu_q}) = 0$  for  $\mu_q \neq 0$ , since then the Whittaker function is identically zero and there is no contribution to the derivative. Formulas (53) and (54) imply that for  $\mu \neq 0$ ,

$$(55) \quad \kappa(t, \mu, \mathfrak{a}) = -\frac{2^{\alpha(\mu)}}{h_k} \prod_{q|d} \text{char}(Q(\mu_q) + \mathbb{Z}_q)(t) \times \left( \sum_{q|d} \xi_q(t, \mu)(\text{ord}_q(t) + 1) \rho(dt) \log(q) + \sum_{p \text{ inert}} \xi_0(t, \mu)(\text{ord}_p(t) + 1) \rho\left(\frac{dt}{p}\right) \log(p) \right),$$

where

$$\xi_q(t, \mu) = \begin{cases} 0 & \text{if } \mu_q \neq 0, \text{ or } \mu_q = 0 \text{ and } \chi_q(-t) = 1, \text{ or } \chi_q(-t) = -1 = \chi_{q'}(-t) \\ & \text{for some ramified prime } q' \neq q \text{ with } \mu_{q'} = 0, \\ 1 & \text{if } \mu_q = 0, \chi_q(-t) = -1, \text{ and } \chi_{q'}(-t) = 1 \text{ for all ramified} \\ & \text{primes } q' \neq q \text{ with } \mu_{q'} = 0, \end{cases}$$

and

$$\xi_0(t, \mu) = \begin{cases} 0 & \text{if } \chi_q(-t) = -1 \text{ and } \mu_q = 0 \text{ for some ramified prime } q, \\ 1 & \text{otherwise.} \end{cases}$$

If we take  $\mu = 0$  in the above equations, we see that  $\xi_q(t, 0) = \xi_q(t)$ ,  $\xi_0(t, 0) = \xi_0(t)$  and  $\nu(d) = \alpha(0)$ . Also, when  $\mu = 0$  then  $t \in \mathbb{N}$  so  $\rho(dt) = \rho(t)$ ,  $\rho\left(\frac{dt}{p}\right) = \rho\left(\frac{t}{p}\right)$  and the characteristic functions can be ignored. This means (55) holds when  $\mu = 0$  as well. We then note that once we sum over  $q \mid d$  with  $\mu_q = 0$  we can replace  $2^{\alpha(\mu)} \xi_q(t, \mu)$  with  $\eta_q(t, \mu)$  and we have

$$\eta_0(t, \mu) = 2^{\alpha(\mu)} \xi_0(t, \mu).$$

This finishes the proof of Theorem 4.1.  $\square$

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